** PUBLIC DISCLOSURE COPY **

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Information about Form 990 and its instructions is at www.irs.gov/form990.

For the 2016 calendar year, or tax year beginning JUL 1, 2016 and ending JUN 30, 2017

<table>
<thead>
<tr>
<th>Name of organization</th>
<th>Employer identification number</th>
</tr>
</thead>
<tbody>
<tr>
<td>AMERICAN ASSOCIATION OF UNIVERSITY WOMEN, INC.</td>
<td>52-6037388</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Address change</th>
<th>Telephone number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name change</td>
<td>(202) 728-7617</td>
</tr>
<tr>
<td>Initial return</td>
<td></td>
</tr>
<tr>
<td>Final return/terminated</td>
<td></td>
</tr>
<tr>
<td>Amended return</td>
<td></td>
</tr>
<tr>
<td>Application pending</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Number and street (or P.O. box if mail is not delivered to street address)</th>
<th>Room/suite</th>
</tr>
</thead>
<tbody>
<tr>
<td>1310 L STREET NORTHWEST</td>
<td>1000</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>City or town, state or province, country, and ZIP or foreign postal code</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>WASHINGTON, DC 20005</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Name and address of principal officer:</th>
<th>Group exemption number</th>
</tr>
</thead>
<tbody>
<tr>
<td>KIMBERLY CHURCHES</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Tax-exempt status:</th>
<th>Corporation</th>
<th>Trust</th>
<th>Association</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>X</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Website:</th>
<th><a href="http://WWW.AAUW.ORG">WWW.AAUW.ORG</a></th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Year of formation:</th>
<th>1958</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Part I</th>
<th>Summary</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Briefly describe the organization’s mission or most significant activities: AAUW ADVANCES EQUITY FOR WOMEN AND GIRLS THROUGH ADVOCACY, EDUCATION, PHILANTHROPY, AND RESEARCH.</td>
</tr>
<tr>
<td>2</td>
<td>Check this box if the organization discontinued its operations or disposed of more than 25% of its net assets:</td>
</tr>
<tr>
<td>3</td>
<td>Number of voting members of the governing body (Part VI, line 1a)</td>
</tr>
<tr>
<td>4</td>
<td>Number of independent voting members of the governing body (Part VI, line 1b)</td>
</tr>
<tr>
<td>5</td>
<td>Total number of individuals employed in calendar year 2016 (Part V, line 2a)</td>
</tr>
<tr>
<td>6</td>
<td>Total number of volunteers (estimate if necessary)</td>
</tr>
<tr>
<td>7</td>
<td>Total unrelated business revenue from Part VIII, column (C), line 12</td>
</tr>
<tr>
<td>8</td>
<td>Net unrelated business taxable income from Form 990-T, line 34</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Part II</th>
<th>Signature Block</th>
</tr>
</thead>
<tbody>
<tr>
<td>Signature of officer</td>
<td>KIMBERLY CHURCHES, CEO</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Expense</th>
<th>Prior Year</th>
<th>Current Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>8 Contributions and grants (Part VIII, line 1h)</td>
<td>10,072,649</td>
<td>8,802,016</td>
</tr>
<tr>
<td>9 Program service revenue (Part VIII, line 2g)</td>
<td>628,747</td>
<td>816,884</td>
</tr>
<tr>
<td>10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)</td>
<td>17,592,725</td>
<td>15,126,875</td>
</tr>
<tr>
<td>11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)</td>
<td>193,729</td>
<td>256,797</td>
</tr>
<tr>
<td>12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)</td>
<td>28,485,850</td>
<td>25,002,572</td>
</tr>
<tr>
<td>13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>14 Benefits paid or for members (Part IX, column (A), line 4)</td>
<td>9,799,161</td>
<td>10,023,085</td>
</tr>
<tr>
<td>15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)</td>
<td>72,157</td>
<td>78,629</td>
</tr>
<tr>
<td>16 Professional fundraising fees (Part IX, column (A), line 11e)</td>
<td>7,535,355</td>
<td>9,226,827</td>
</tr>
<tr>
<td>17 Other expenses (Part IX, column (A), lines 11a-11d, 11i-24e)</td>
<td>21,557,401</td>
<td>23,454,549</td>
</tr>
<tr>
<td>18 Total expenses. Add lines 17-19 (must equal Part IX, column (A), line 25)</td>
<td>26,781,049</td>
<td>25,273,977</td>
</tr>
<tr>
<td>19 Revenue less expenses. Subtract line 18 from line 12</td>
<td>11,704,771</td>
<td>2,728,595</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Expense</th>
<th>Beginning of Current Year</th>
<th>End of Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>20 Total assets (Part X, line 16)</td>
<td>132,249,840</td>
<td>135,327,839</td>
</tr>
<tr>
<td>21 Total liabilities (Part X, line 26)</td>
<td>12,569,564</td>
<td>15,805,945</td>
</tr>
<tr>
<td>22 Net assets or fund balances. Subtract line 21 from line 20</td>
<td>119,680,276</td>
<td>119,521,894</td>
</tr>
</tbody>
</table>

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

Date: 3/22/18

Print/Type preparer’s name

Preparer’s name: MARK THOMAS

Preparer’s signature: MARK THOMAS

Date: 03/15/18

Preparer’s EIN: P00362982

Use Only

Firm’s name: COUNCILOR, BUCHANAN & MITCHELL, P.C.

Firm’s EIN: 52-1711839

Firm’s address: 7910 WOODMONT AVE. STE. 500

BETHESDA, MD 20814

Phone no.: (301) 986-0600

May the IRS discuss this return with the preparer shown above? (see instructions)

Yes X No
Part III  Statement of Program Service Accomplishments

1 Briefly describe the organization’s mission:

AAUW ADVANCES EQUITY FOR WOMEN AND GIRLS THROUGH ADVOCACY, EDUCATION, PHILANTHROPY, AND RESEARCH.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  [ ] Yes  [X] No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?  [ ] Yes  [X] No

If "Yes," describe these changes on Schedule O.

4 Describe the organization’s program service accomplishments for each of its three largest program services, as measured by expenses.

Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses $ 6,263,446. including grants of $ 226,875. (Revenue $ 232,359.)

OUTREACH: INCLUDES ALL OF THE PROGRAMS AND ACTIVITIES DONE TO SERVE, ENGAGE AND COMMUNICATE WITH MEMBERS AND THE GENERAL PUBLIC.

4b (Code: ) (Expenses $ 4,993,192. including grants of $ 967,673. (Revenue $

RESEARCH AND PROJECTS - RESEARCH AND PROJECTS EXIST TO FUND PROGRAMS AND RESEARCH THAT HELP TO: ADVANCE EQUITY FOR WOMEN AND GIRLS; PROTECT THEM FROM DISCRIMINATION AND ABUSE; PROMOTE EDUCATIONAL AND WORKPLACE OPPORTUNITY AND ACHIEVEMENT; ADVOCATE FOR PUBLIC POLICIES THAT BENEFIT THEM AND THEIR FAMILIES; AND, BREAK THROUGH BARRIERS THAT PREVENT THEM FROM ATTAINING THEIR PERSONAL GOALS AND FULL POTENTIAL. AAUW FUNDS RESEARCH THROUGH WIDELY DISSEMINATED RESEARCH REPORTS, INCLUDING: BARRIERS AND BIAS: THE STATUS OF WOMEN IN LEADERSHIP; SOLVING THE EQUATION: WOMEN'S SUCCESS IN ENGINEERING AND COMPUTING; WHY SO FEW?: WOMEN IN SCIENCE, TECHNOLOGY, ENGINEERING, AND MATHEMATICS AND, DEEPER IN DEBT: WOMEN AND STUDENT LOANS. AAUW ALSO FUNDS MEMBER AND CAMPUS LEADERSHIP PROGRAMS INCLUDING: $TART $MART, WORK SMART, CAMPUS ACTION

4c (Code: ) (Expenses $ 2,171,647. including grants of $ 1,848,780. (Revenue $ 105,265.)

AMERICAN FELLOWSHIPS - AMERICAN FELLOWSHIPS ARE AWARDED TO WOMEN WHO ARE CITIZENS OR PERMANENT RESIDENTS OF THE UNITED STATES FOR POSTDOCTORAL OR DISSERTATION WORK, OR FOR GRANTS TO PREPARE RESEARCH FOR PUBLICATION. SELECTED PROFESSIONS FELLOWSHIPS ARE AWARDED TO WOMEN PURSUING A FULL-TIME COURSE OF STUDY IN A DESIGNATED DEGREE PROGRAM IN WHICH WOMEN HAVE TRADITIONALLY NOT BEEN WELL REPRESENTED.

4d Other program services (Describe in Schedule O.)

(Expenses $ 4,194,066. including grants of $ 1,082,680. (Revenue $ 711,619.)

4e Total program service expenses ➤ 17,622,351.
<table>
<thead>
<tr>
<th>1</th>
<th>Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>Is the organization required to complete Schedule B, Schedule of Contributors?</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>3</td>
<td>Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office?</td>
<td>Yes</td>
<td>No</td>
</tr>
</tbody>
</table>

**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? | Yes | No |

**Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19?** | Yes | No |

**Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution of investment of amounts in such funds or accounts?** | Yes | No |

**Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures?** | Yes | No |

**Did the organization maintain collections of works of art, historical treasures, or other similar assets?** | Yes | No |

**Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services?** | Yes | No |

**Did the organization report an amount for land, buildings, and equipment in Part X, line 10?** | Yes | No |

**Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16?** | Yes | No |

**Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16?** | Yes | No |

**Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16?** | Yes | No |

**Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16?** | Yes | No |

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**Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16?** | Yes | No |

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**Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution of investments of amounts in such funds or accounts?** | Yes | No |
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H ......................................................... 20a  X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? 20b
21 Did the organization report more than $5,000 of grants or other assistance to any domestic organization or
domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II 21  X
22 Did the organization report more than $5,000 of grants or other assistance to or for domestic individuals on
Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III 22  X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current
and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete
Schedule J 23  X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last
day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete
Schedule K. If "No", go to line 25a 24a  X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? 24b

c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease
any tax-exempt bonds? 24c

d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? 24d
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit
transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I 25a  X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and
that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete
Schedule L, Part I 25b  X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or
former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes,"
complete Schedule L, Part II 26  X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial
contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member
of any of these persons? If "Yes," complete Schedule L, Part III 27  X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV
instructions for applicable filing thresholds, conditions, and exceptions): 28  X
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV 28a  X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV 28b  X

c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer,
director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV 28c  X
29 Did the organization receive more than $25,000 in non-cash contributions? If "Yes," complete Schedule M 29  X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation
contributions? If "Yes," complete Schedule M 30  X
31 Did the organization liquidate, terminate, or dissolve and cease operations? 31  X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete
Schedule N, Part II 32  X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations
sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I 33  X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and
Part V, line 1 34  X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)? 35a  X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity
within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 35b  X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?
If "Yes," complete Schedule R, Part V, line 2 36  X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization
and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI 37  X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? 38  X

Note. All Form 990 filers are required to complete Schedule O.
### Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable</td>
<td>157</td>
<td>0</td>
</tr>
<tr>
<td>1b</td>
<td>Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1c</td>
<td>Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>2a</td>
<td>Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return</td>
<td>145</td>
<td>0</td>
</tr>
<tr>
<td>2b</td>
<td>If at least one is reported on line 2a, did the organization file all required federal employment tax returns?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>3a</td>
<td>Did the organization have unrelated business gross income of $1,000 or more during the year?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>3b</td>
<td>If &quot;Yes,&quot; has it filed a Form 990-T for this year? If &quot;No,&quot; to line 3b, provide an explanation in Schedule O</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>4a</td>
<td>At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>4b</td>
<td>If &quot;Yes,&quot; enter the name of the foreign country:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5a</td>
<td>Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>5b</td>
<td>Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>5c</td>
<td>If &quot;Yes,&quot; to line 5a or 5b, did the organization file Form 8886-T?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>6a</td>
<td>Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>6b</td>
<td>If &quot;Yes,&quot; did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7a</td>
<td>Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>7b</td>
<td>If &quot;Yes,&quot; did the organization notify the donor of the value of the goods or services provided?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>7c</td>
<td>Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>7d</td>
<td>If &quot;Yes,&quot; indicate the number of Forms 8282 filed during the year</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7e</td>
<td>Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>7f</td>
<td>Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>7g</td>
<td>If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7h</td>
<td>If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Sponsoring organizations maintaining donor advised funds.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>Section 501(c)(7) organizations. Enter:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>Section 501(c)(12) organizations. Enter:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12a</td>
<td>Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12b</td>
<td>If &quot;Yes,&quot; enter the amount of tax-exempt interest received or accrued during the year</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13a</td>
<td>Section 501(c)(29) qualified nonprofit health insurance issuers.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13b</td>
<td>Did the organization receive any payments for indoor tanning services during the tax year?</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>13c</td>
<td>If &quot;Yes,&quot; has it filed a Form 720 to report these payments? If &quot;No,&quot; provide an explanation in Schedule O</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Form 990 (2016)
Part VI Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

1. Enter the number of voting members of the governing body at the end of the tax year
   1a. If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.
   1b. Enter the number of voting members included in line 1a, above, who are independent

2. Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?
   2. X

3. Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?
   3. X

4. Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?
   4. X

5. Did the organization become aware during the year of a significant diversion of the organization's assets?
   5. X

6. Did the organization have members or stockholders?
   6. X

7. Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?
   7a. X

8. Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?
   7b. X

9. Did the organization contemporarily document the meetings held or written actions undertaken during the year by the following:
   a. The governing body?
   b. Each committee with authority to act on behalf of the governing body?
   8a. X

10. Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?
   8b. X

9. Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address?
   9. X

Section B. Policies
(This Section B requests information about policies not required by the Internal Revenue Code.)

10a. Did the organization have local chapters, branches, or affiliates?
    b. If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?
    10a. X

11a. Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?
    b. Describe in Schedule O the process, if any, used by the organization to review this Form 990.
    11a. X

12a. Did the organization have a written conflict of interest policy?
    b. If "No," go to line 13
    12a. X

12b. Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?
    12b. X

12c. Did the organization regularly and consistently monitor and enforce compliance with the policy?
    12c. X

13. Did the organization have a written whistleblower policy?
    13. X

14. Did the organization have a written document retention and destruction policy?
    14. X

15. Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?
    a. The organization's CEO, Executive Director, or top management official?
    b. Other officers or key employees of the organization
    If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).
    15a. X

16a. Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?
    b. If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?
    16a. X

Section C. Disclosure

17. List the states with which a copy of this Form 990 is required to be filed
   AL, AR, CA, CT, FL, GA, HI, IL, KS, KY, MD, MA

18. Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
   Own website
   Another's website
   Upon request
   Other (explain in Schedule O)

19. Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20. State the name, address, and telephone number of the person who possesses the organization's books and records:

THOMAS CHAPPELL - (202) 785-7700
1310 L STREET NORTHWEST, SUITE 1000, WASHINGTON, DC 20005

SEE SCHEDULE O FOR FULL LIST OF STATES
### Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization’s tax year.

- List all of the organization’s current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization’s current key employees, if any. See instructions for definition of “key employee.”
- List the organization’s five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization’s former officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization’s former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

- Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

<table>
<thead>
<tr>
<th>(A) Name and Title</th>
<th>(B) Average hours per week</th>
<th>(C) Position</th>
<th>(D) Reportable compensation from the organization (W-2/1099-MISC)</th>
<th>(E) Reportable compensation from related organizations (W-2/1099-MISC)</th>
<th>(F) Estimated amount of other compensation from the organization and related organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) PATRICIA FAE HO</td>
<td>20.00</td>
<td>CHAIR</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(2) ALICIA HETMAN</td>
<td>10.00</td>
<td>VICE CHAIR</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(3) JANET BUNGER</td>
<td>10.00</td>
<td>FINANCE VICE CHAIR</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(4) EILEEN HARTMANN</td>
<td>10.00</td>
<td>SECRETARY</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(5) JOANNA AMBERGER</td>
<td>5.00</td>
<td>DIRECTOR</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(6) SUSAN BARLEY</td>
<td>5.00</td>
<td>DIRECTOR</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(7) MALINDA GAUL</td>
<td>5.00</td>
<td>DIRECTOR</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(8) ANTHONY J. HILL</td>
<td>5.00</td>
<td>DIRECTOR</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(9) ELLIE HILL</td>
<td>5.00</td>
<td>DIRECTOR</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(10) TRACI JENSEN</td>
<td>5.00</td>
<td>DIRECTOR</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(11) MELISSA JOHNSEN</td>
<td>5.00</td>
<td>DIRECTOR</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(12) DOT MCLANE</td>
<td>5.00</td>
<td>DIRECTOR</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(13) REBECCA NORLANDER</td>
<td>5.00</td>
<td>DIRECTOR</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(14) GLENDRA PRICE</td>
<td>5.00</td>
<td>DIRECTOR</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(15) PAMELLA THIEL</td>
<td>5.00</td>
<td>DIRECTOR</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(16) KIMBERLY CHURCHES</td>
<td>0.00</td>
<td>CHIEF EXECUTIVE OFFICER EFF. 6/1/17</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(17) LINDA HALLMAN</td>
<td>59.93</td>
<td>CHIEF EXECUTIVE OFFICER</td>
<td>550,728.</td>
<td>4,595.</td>
<td>25,961.</td>
</tr>
</tbody>
</table>

632007 11-11-16
### Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

<table>
<thead>
<tr>
<th>Name and title</th>
<th>Position</th>
<th>Average hours per week</th>
<th>Reportable compensation from the organization (W-2/1099-MISC)</th>
<th>Reportable compensation from related organizations (W-2/1099-MISC)</th>
<th>Estimated amount of other compensation from the organization and related organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>(18) THOMAS CHAPPELL</td>
<td>VP OF FINANCE</td>
<td>48.01</td>
<td>80,809.</td>
<td>0.</td>
<td>7,733.</td>
</tr>
<tr>
<td>(19) MARK HOPKINS</td>
<td>CHIEF STRATEGY OFFICER</td>
<td>51.64</td>
<td>276,242.</td>
<td>2,674.</td>
<td>43,015.</td>
</tr>
<tr>
<td>(20) JILL BIRDSWISTELL</td>
<td>COO</td>
<td>50.72</td>
<td>359,855.</td>
<td>3,547.</td>
<td>44,153.</td>
</tr>
<tr>
<td>(21) SHEILA AMO</td>
<td>VP OF HUMAN RESOURCES</td>
<td>38.84</td>
<td>128,232.</td>
<td>0.</td>
<td>17,387.</td>
</tr>
<tr>
<td>(22) GLORIA BLACKWELL</td>
<td>VP OF FELLOW &amp; GRANTS</td>
<td>40.55</td>
<td>136,592.</td>
<td>0.</td>
<td>16,477.</td>
</tr>
<tr>
<td>(23) FONG-CHING CHENG</td>
<td>VP OF INFORMATION TECHNOLOGY</td>
<td>49.80</td>
<td>156,736.</td>
<td>0.</td>
<td>25,239.</td>
</tr>
<tr>
<td>(24) CHRISTY JONES</td>
<td>VP OF MEMBERSHIP</td>
<td>41.63</td>
<td>163,551.</td>
<td>0.</td>
<td>24,506.</td>
</tr>
<tr>
<td>(25) LISA MATZ</td>
<td>VP OF PUBLIC POLICY</td>
<td>45.56</td>
<td>197,830.</td>
<td>13,979.</td>
<td>28,596.</td>
</tr>
</tbody>
</table>

1b Sub-total: 2,050,575. 24,795. 233,067.

c Total from continuation sheets to Part VII, Section A: 0. 0. 0.

d Total (add lines 1b and 1c): 2,050,575. 24,795. 233,067.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization: 15

3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual.

4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? If "Yes," complete Schedule J for such individual.

5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person.

### Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

<table>
<thead>
<tr>
<th>Name and business address</th>
<th>Description of services</th>
<th>Compensation</th>
</tr>
</thead>
<tbody>
<tr>
<td>RUBINO &amp; COMPANY, CHARTERED, 6903</td>
<td>CFO &amp; ACCOUNTING SERVICES</td>
<td>386,080.</td>
</tr>
<tr>
<td>ROCKLEDGE DRIVE, SUITE 1200, BETHESDA, MD</td>
<td>SERVICES</td>
<td></td>
</tr>
<tr>
<td>TEKCONNX, LLC, 302 WESTWOOD OFFICE PARK,</td>
<td>IT SERVICES/SOLUTIONS P</td>
<td>349,847.</td>
</tr>
<tr>
<td>FREDERICKSBURG, VA 22401</td>
<td>FUNDRAISING SERVICES</td>
<td>344,405.</td>
</tr>
<tr>
<td>CHAPMAN CUBINE &amp; HUSSEY, INC, 2000 15TH STREET,</td>
<td>CONSULTANT SERVICES</td>
<td></td>
</tr>
<tr>
<td>FREDERICKSBURG, VA 22201</td>
<td>NCCWSL CONFERENCE SERVICES</td>
<td></td>
</tr>
<tr>
<td>ACTION MAILING 90 COMMERCE DRIVE, ASTON, PA</td>
<td>DIRECT MARKETING</td>
<td>195,954.</td>
</tr>
<tr>
<td>UNIVERSITY OF MARYLAND, OFFICE OF THE BURSAR,</td>
<td>NCCWSL CONFERENCE SERVICES</td>
<td>180,968.</td>
</tr>
<tr>
<td>COLLEGE PARK, MD 20742</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization: 5
**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

<table>
<thead>
<tr>
<th>Contributions, Gifts, Grants and Other Similar Amounts</th>
<th>(A) Total revenue</th>
<th>(B) Related or exempt function revenue</th>
<th>(C) Unrelated business revenue</th>
<th>(D) Revenue excluded from tax under sections 512-514</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 a Federated campaigns</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 b Membership dues</td>
<td></td>
<td>2,808,454.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 c Fundraising events</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 d Related organizations</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 e Government grants (contributions)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 f All other contributions, gifts, grants, and similar amounts not included above</td>
<td></td>
<td>5,993,562.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 g Noncash contributions included in lines 1a-1f</td>
<td>229,865.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 h Total. Add lines 1a-1f</td>
<td>8,802,016.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 a CONFERENCE REVENUE</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 b APPLICATION FEES</td>
<td>900099</td>
<td>711,619.</td>
<td>711,619.</td>
<td></td>
</tr>
<tr>
<td>2 c</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 d</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 e</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 f All other program service revenue</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 g Total. Add lines 2a-2f</td>
<td>816,884.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Investment income (including dividends, interest, and other similar amounts)</td>
<td></td>
<td>1,774,145.</td>
<td>1,774,145.</td>
<td></td>
</tr>
<tr>
<td>4 Income from investment of tax-exempt bond proceeds</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 Royalties</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 a Gross rents</td>
<td></td>
<td>290,777.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 b Less: rental expenses</td>
<td></td>
<td>301,237.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 c Rental income or (loss)</td>
<td></td>
<td>-10,460.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 d Net rental income or (loss)</td>
<td></td>
<td>-10,460.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 a Gross amount from sales of assets other than inventory</td>
<td></td>
<td>933,995,115.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 b Less: cost or other basis and sales expenses</td>
<td></td>
<td>120,642,385.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 c Gain or (loss)</td>
<td></td>
<td>13,352,730.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 d Net gain or (loss)</td>
<td></td>
<td>13,352,730.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 a Gross income from fundraising events (not including $ of contributions reported on line 1c). See Part IV, line 18</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 b Less: direct expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 c Net income or (loss) from fundraising events</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9 a Gross income from gaming activities. See Part IV, line 19</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9 b Less: direct expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9 c Net income or (loss) from gaming activities</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10 a Gross sales of inventory, less returns and allowances</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10 b Less: cost of goods sold</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10 c Net income or (loss) from sales of inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Other Revenue**

<table>
<thead>
<tr>
<th>Business Code</th>
<th>(A) Revenue</th>
<th>(B) Related or exempt function revenue</th>
<th>(C) Unrelated business revenue</th>
<th>(D) Revenue excluded from tax under sections 512-514</th>
</tr>
</thead>
<tbody>
<tr>
<td>11 b ADVERTISING REVENUE</td>
<td>541800</td>
<td>34,898.</td>
<td>34,898.</td>
<td></td>
</tr>
<tr>
<td>11 c</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 d All other revenue</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 e Total. Add lines 11a-11d</td>
<td>267,257.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12 Total. Add lines 1a-12</td>
<td>25,002,572.</td>
<td>1,049,243.</td>
<td>34,898.</td>
<td>15,116,415.</td>
</tr>
</tbody>
</table>

AMSAMERICAN ASSOCIATION OF UNIVERSITY WOMEN, INC. 52-6037388 Page9
## Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

### Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.

<table>
<thead>
<tr>
<th></th>
<th>Total expenses</th>
<th>Program service expenses</th>
<th>Management and general expenses</th>
<th>Fundraising expenses</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>534,358</td>
<td>534,358</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>3,570,945</td>
<td>3,570,945</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>20,705</td>
<td>20,705</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>1,140,602</td>
<td>289,140</td>
<td>829,928</td>
<td>21,534</td>
</tr>
<tr>
<td>5</td>
<td>120,000</td>
<td>120,000</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>6,906,686</td>
<td>5,370,756</td>
<td>926,117</td>
<td>609,813</td>
</tr>
<tr>
<td>7</td>
<td>544,486</td>
<td>406,830</td>
<td>90,844</td>
<td>46,812</td>
</tr>
<tr>
<td>8</td>
<td>720,210</td>
<td>489,804</td>
<td>167,550</td>
<td>62,856</td>
</tr>
<tr>
<td>9</td>
<td>591,101</td>
<td>419,871</td>
<td>123,920</td>
<td>47,310</td>
</tr>
<tr>
<td>10</td>
<td>78,629</td>
<td>78,629</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>109,471</td>
<td>109,471</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>1,369,401</td>
<td>756,417</td>
<td>433,339</td>
<td>179,645</td>
</tr>
<tr>
<td>13</td>
<td>8,635</td>
<td>7,308</td>
<td></td>
<td>1,327</td>
</tr>
<tr>
<td>14</td>
<td>1,987,749</td>
<td>1,186,856</td>
<td>93,975</td>
<td>706,918</td>
</tr>
<tr>
<td>15</td>
<td>430,475</td>
<td>342,843</td>
<td>59,369</td>
<td>28,263</td>
</tr>
<tr>
<td>16</td>
<td>2,377,011</td>
<td>1,697,602</td>
<td>493,667</td>
<td>185,742</td>
</tr>
<tr>
<td>17</td>
<td>336,395</td>
<td>243,254</td>
<td>63,260</td>
<td>29,881</td>
</tr>
<tr>
<td>18</td>
<td>895,849</td>
<td>782,977</td>
<td>70,004</td>
<td>42,868</td>
</tr>
<tr>
<td>19</td>
<td>254,910</td>
<td>130,999</td>
<td>110,882</td>
<td>13,029</td>
</tr>
<tr>
<td>20</td>
<td>121,500</td>
<td>69,607</td>
<td>50,540</td>
<td>1,353</td>
</tr>
<tr>
<td>21</td>
<td>1,010,378</td>
<td>1,010,378</td>
<td></td>
<td></td>
</tr>
<tr>
<td>22</td>
<td>65,843</td>
<td>41,785</td>
<td>19,537</td>
<td>4,521</td>
</tr>
<tr>
<td>23</td>
<td>59,764</td>
<td>45,010</td>
<td>12,144</td>
<td>2,610</td>
</tr>
<tr>
<td>24</td>
<td>109,471</td>
<td>109,471</td>
<td></td>
<td></td>
</tr>
<tr>
<td>25</td>
<td>23,454,549</td>
<td>17,622,351</td>
<td>3,746,375</td>
<td>2,085,823</td>
</tr>
</tbody>
</table>

---

**TECH TREK/TECH SAVVY RE** 1,010,378 1,010,378

**SECURITY GUARD SERVICES** 65,843 41,785 19,537 4,521

**SUBSCRIPTION/MEMBERSHIP** 59,764 45,010 12,144 2,610

---

2016.05060 AMERICAN ASSOCIATION OF UNIVERSITY 50010-11
### Part X  Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X: [ ]

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>(A)</td>
<td>(B)</td>
<td></td>
</tr>
<tr>
<td><strong>Beginning of year</strong></td>
<td><strong>End of year</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Assets</strong></td>
<td><strong>Liabilities</strong></td>
<td><strong>Net Assets or Fund Balances</strong></td>
</tr>
<tr>
<td>1 Cash - non-interest-bearing</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Savings and temporary cash investments</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Pledges and grants receivable, net</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Accounts receivable, net</td>
<td>20,647,618</td>
<td>1,257,542</td>
</tr>
<tr>
<td>5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 Notes and loans receivable, net</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Inventories for sale or use</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9 Prepaid expenses and deferred charges</td>
<td>397,486</td>
<td>278,500</td>
</tr>
<tr>
<td>10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D</td>
<td>6,773,856</td>
<td>5,896,181</td>
</tr>
<tr>
<td>10b Less: accumulated depreciation</td>
<td>1,081,841</td>
<td>5,692,015</td>
</tr>
<tr>
<td>11 Investments - publicly traded securities</td>
<td>90,956,394</td>
<td>113,502,546</td>
</tr>
<tr>
<td>12 Investments - other securities. See Part IV, line 11</td>
<td>13,712,337</td>
<td>14,340,895</td>
</tr>
<tr>
<td>13 Investments - program-related. See Part IV, line 11</td>
<td></td>
<td></td>
</tr>
<tr>
<td>14 Intangible assets</td>
<td></td>
<td></td>
</tr>
<tr>
<td>15 Other assets. See Part IV, line 11</td>
<td>32,682</td>
<td></td>
</tr>
<tr>
<td>16 Total assets. Add lines 1 through 15 (must equal line 34)</td>
<td>132,249,840</td>
<td>135,327,839</td>
</tr>
<tr>
<td>17 Accounts payable and accrued expenses</td>
<td>2,250,574</td>
<td>1,746,372</td>
</tr>
<tr>
<td>18 Grants payable</td>
<td>3,755,493</td>
<td>3,805,324</td>
</tr>
<tr>
<td>19 Deferred revenue</td>
<td>1,346,800</td>
<td>1,469,271</td>
</tr>
<tr>
<td>20 Tax-exempt bond liabilities</td>
<td></td>
<td>8,784,978</td>
</tr>
<tr>
<td>21 Escrow or custodial account liability. Complete Part IV of Schedule D</td>
<td></td>
<td></td>
</tr>
<tr>
<td>22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L</td>
<td></td>
<td></td>
</tr>
<tr>
<td>23 Secured mortgages and notes payable to unrelated third parties</td>
<td></td>
<td></td>
</tr>
<tr>
<td>24 Unsecured notes and loans payable to unrelated third parties</td>
<td></td>
<td></td>
</tr>
<tr>
<td>25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D</td>
<td>5,216,697</td>
<td>8,784,978</td>
</tr>
<tr>
<td>26 Total liabilities. Add lines 17 through 25</td>
<td>12,569,564</td>
<td>15,805,945</td>
</tr>
<tr>
<td><strong>Organizations that follow SFAS 117 (ASC 958), check here [X] and complete lines 27 through 29, and lines 33 and 34.</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>27 Unrestricted net assets</td>
<td>19,881,869</td>
<td>12,312,252</td>
</tr>
<tr>
<td>28 Temporarily restricted net assets</td>
<td>27,519,692</td>
<td>34,321,428</td>
</tr>
<tr>
<td>29 Permanently restricted net assets</td>
<td>72,278,715</td>
<td>72,888,214</td>
</tr>
<tr>
<td><strong>Organizations that do not follow SFAS 117 (ASC 958), check here [ ] and complete lines 30 through 34.</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>30 Capital stock or trust principal, or current funds</td>
<td></td>
<td></td>
</tr>
<tr>
<td>31 Paid-in or capital surplus, or land, building, or equipment fund</td>
<td></td>
<td></td>
</tr>
<tr>
<td>32 Retained earnings, endowment, accumulated income, or other funds</td>
<td>119,680,276</td>
<td>119,521,894</td>
</tr>
<tr>
<td>33 Total net assets or fund balances</td>
<td>132,249,840</td>
<td>135,327,839</td>
</tr>
</tbody>
</table>

Form 990 (2016)
### Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total revenue (must equal Part VIII, column (A), line 12)</td>
<td>25,002,572.</td>
</tr>
<tr>
<td>2</td>
<td>Total expenses (must equal Part IX, column (A), line 25)</td>
<td>23,454,549.</td>
</tr>
<tr>
<td>3</td>
<td>Revenue less expenses. Subtract line 2 from line 1</td>
<td>1,548,023.</td>
</tr>
<tr>
<td>4</td>
<td>Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))</td>
<td>119,680,276.</td>
</tr>
<tr>
<td>5</td>
<td>Net unrealized gains (losses) on investments</td>
<td>-1,706,405.</td>
</tr>
<tr>
<td>6</td>
<td>Donated services and use of facilities</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Investment expenses</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Prior period adjustments</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Other changes in net assets or fund balances (explain in Schedule O)</td>
<td>0.</td>
</tr>
<tr>
<td>10</td>
<td>Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))</td>
<td>119,521,894.</td>
</tr>
</tbody>
</table>

### Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Yes/No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Accounting method used to prepare the Form 990: Cash X Accrual □ Other</td>
<td></td>
</tr>
<tr>
<td></td>
<td>If the organization changed its method of accounting from a prior year or checked &quot;Other,&quot; explain in Schedule O.</td>
<td></td>
</tr>
<tr>
<td>2a</td>
<td>Were the organization's financial statements compiled or reviewed by an independent accountant?</td>
<td>X</td>
</tr>
<tr>
<td></td>
<td>If &quot;Yes,&quot; check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Separate basis □ Consolidated basis □ Both consolidated and separate basis</td>
<td></td>
</tr>
<tr>
<td>2b</td>
<td>Were the organization's financial statements audited by an independent accountant?</td>
<td>X</td>
</tr>
<tr>
<td></td>
<td>If &quot;Yes,&quot; check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Separate basis X Consolidated basis □ Both consolidated and separate basis</td>
<td></td>
</tr>
<tr>
<td>2c</td>
<td>If &quot;Yes&quot; to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?</td>
<td>X</td>
</tr>
<tr>
<td></td>
<td>If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.</td>
<td></td>
</tr>
<tr>
<td>3a</td>
<td>As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?</td>
<td>X</td>
</tr>
<tr>
<td>3b</td>
<td>If &quot;Yes,&quot; did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits</td>
<td></td>
</tr>
</tbody>
</table>
**Public Charity Status and Public Support**

**Part I: Reason for Public Charity Status**

All organizations must complete this part. See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

1. A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
3. A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
4. A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii).
5. An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv).
6. A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
7. An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi).
9. An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university;
10. An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2).
12. An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
   a. Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B.
   b. Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C.
   c. Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E.
   d. Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V.

f. Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

g. Enter the number of supported organizations

Provide the following information about the supported organization(s).

<table>
<thead>
<tr>
<th>i) Name of supported organization</th>
<th>ii) EIN</th>
<th>iii) Type of organization (described on lines 1-10 above (see instructions))</th>
<th>iv) Is the organization listed in your governing document?</th>
<th>v) Amount of monetary support (see instructions)</th>
<th>vi) Amount of other support (see instructions)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total

**Schedule A (Form 990 or 990-EZ) 2016**

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. OMB No. 1545-0047

632021 09-21-16 Schedule A (Form 990 or 990-EZ) 2016

09360315 759370 50010-0100 2016.05060 AMERICAN ASSOCIATION OF UNI 50010-11
### Section A. Public Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2012</th>
<th>(b) 2013</th>
<th>(c) 2014</th>
<th>(d) 2015</th>
<th>(e) 2016</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Gifts, grants, contributions, and membership fees received. (Do not include any &quot;unusual grants.&quot; ...)</td>
<td>10639038</td>
<td>7017341</td>
<td>8372681</td>
<td>10072649</td>
<td>8802016</td>
<td>44903725</td>
</tr>
<tr>
<td>2 Tax revenues levied for the organization’s benefit and either paid to or expended on its behalf</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 The value of services or facilities furnished by a governmental unit to the organization without charge</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total. Add lines 1 through 3</strong></td>
<td>10639038</td>
<td>7017341</td>
<td>8372681</td>
<td>10072649</td>
<td>8802016</td>
<td>44903725</td>
</tr>
<tr>
<td>4 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Public support. Subtract line 5 from line 4.</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>44903725</td>
</tr>
</tbody>
</table>

### Section B. Total Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2012</th>
<th>(b) 2013</th>
<th>(c) 2014</th>
<th>(d) 2015</th>
<th>(e) 2016</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>7 Amounts from line 4</td>
<td>10639038</td>
<td>7017341</td>
<td>8372681</td>
<td>10072649</td>
<td>8802016</td>
<td>44903725</td>
</tr>
<tr>
<td>8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources</td>
<td>2243539</td>
<td>2369718</td>
<td>5318678</td>
<td>5155323</td>
<td>2064922</td>
<td>17152180</td>
</tr>
<tr>
<td>9 Net income from unrelated business activities, whether or not the business is regularly carried on</td>
<td>20,496</td>
<td>21,268</td>
<td>26,516</td>
<td>26,516</td>
<td>22,272</td>
<td>117,068</td>
</tr>
<tr>
<td>10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total support. Add lines 7 through 10</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>62172973</td>
</tr>
<tr>
<td>12 Gross receipts from related activities, etc. (see instructions)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>12,4167,862</td>
</tr>
</tbody>
</table>

### Section C. Computation of Public Support Percentage

<table>
<thead>
<tr>
<th></th>
<th>(a) 2012</th>
<th>(b) 2013</th>
<th>(c) 2014</th>
<th>(d) 2015</th>
<th>(e) 2016</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>14 Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>72.22 %</td>
</tr>
<tr>
<td>15 Public support percentage from 2015 Schedule A, Part II, line 14</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>70.19 %</td>
</tr>
<tr>
<td>16a 33 1/3% support test - 2016. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>X</td>
</tr>
<tr>
<td>16b 33 1/3% support test - 2015. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>17a 10% - facts-and-circumstances test - 2016. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the &quot;facts-and-circumstances&quot; test, check this box and stop here. Explain in Part VI how the organization meets the &quot;facts-and-circumstances&quot; test. The organization qualifies as a publicly supported organization</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>17b 10% - facts-and-circumstances test - 2015. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the &quot;facts-and-circumstances&quot; test, check this box and stop here. Explain in Part VI how the organization meets the &quot;facts-and-circumstances&quot; test. The organization qualifies as a publicly supported organization</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Note:** The above text is a representation of the document's content as described. It includes mathematical calculations and financial data. The specific values and calculations are not detailed here but are indicated through the structure and layout of the document. The text is formatted to match the original layout, including tables and numerical data.
### Section A. Public Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2012</th>
<th>(b) 2013</th>
<th>(c) 2014</th>
<th>(d) 2015</th>
<th>(e) 2016</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Gifts, grants, contributions, and membership fees received. (Do not include any &quot;unusual grants.&quot;)</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>3 Gross receipts from activities that are not an unrelated trade or business under section 513</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>5 The value of services or facilities furnished by a governmental unit to the organization without charge</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>6 Total. Add lines 1 through 5</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>7a Amounts included on lines 1, 2, and 3 received from disqualified persons</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>c Add lines 7a and 7b</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>8 Public support. (Subtract line 7c from line 6)</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
</tbody>
</table>

### Section B. Total Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2012</th>
<th>(b) 2013</th>
<th>(c) 2014</th>
<th>(d) 2015</th>
<th>(e) 2016</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>9 Amounts from line 6</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>c Add lines 10a and 10b</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>13 Total support. (Add lines 9, 10c, 11, and 12)</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
<td>.....</td>
</tr>
<tr>
<td>14 First five years. If the Form 990 is for the organization’s first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Section C. Computation of Public Support Percentage

<table>
<thead>
<tr>
<th>(a) 2016</th>
<th>(b) 2013</th>
<th>(c) 2014</th>
<th>(d) 2015</th>
<th>(e) 2016</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>15 Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f))</td>
<td>15</td>
<td>%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16 Public support percentage from 2015 Schedule A, Part III, line 15</td>
<td>16</td>
<td>%</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Section D. Computation of Investment Income Percentage

<table>
<thead>
<tr>
<th>(a) 2016</th>
<th>(b) 2013</th>
<th>(c) 2014</th>
<th>(d) 2015</th>
<th>(e) 2016</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>17 Investment income percentage for 2016 (line 10c, column (f) divided by line 13, column (f))</td>
<td>17</td>
<td>%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>18 Investment income percentage from 2015 Schedule A, Part III, line 17</td>
<td>18</td>
<td>%</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Other

- 19a 33 1/3% support tests - 2016. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization.
- 20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions.

---

*Schedule A (Form 990 or 990-EZ) 2016* 
632023 09-21-16
Part III. Supporting Organizations

Section A. All Supporting Organizations

1. Are all of the organization’s supported organizations listed by name in the organization’s governing documents? If “No,” describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.

2. Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If “Yes,” explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).

3a. Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If “Yes,” answer (b) and (c) below.

b. Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If “Yes,” describe in Part VI when and how the organization made the determination.

c. Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If “Yes,” explain in Part VI what controls the organization put in place to ensure such use.

4a. Was any supported organization not organized in the United States (“foreign supported organization”)? If “Yes,” and if you checked 12a or 12b in Part I, answer (b) and (c) below.

b. Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If “Yes,” describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.

c. Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If “Yes,” explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.

5a. Did the organization add, substitute, or remove any supported organizations during the tax year? If “Yes,” answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization’s organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).

b. Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization’s organizing document?

c. Substitutions only. Was the substitution the result of an event beyond the organization’s control?

6. Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization’s supported organizations? If “Yes,” provide detail in Part VI.

7. Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If “Yes,” complete Part I of Schedule L (Form 990 or 990-EZ).

8. Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If “Yes,” complete Part I of Schedule L (Form 990 or 990-EZ).

9a. Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If “Yes,” provide detail in Part VI.

b. Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If “Yes,” provide detail in Part VI.

c. Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If “Yes,” provide detail in Part VI.

10a. Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If “Yes,” answer 10b below.

b. Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)
**Part IV Supporting Organizations (continued)**

11 Has the organization accepted a gift or contribution from any of the following persons?

- **Yes**
- **No**

  a. A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?

  b. A family member of a person described in (a) above?

  c. A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.

**Section B. Type I Supporting Organizations**

1. Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.

2. Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.

**Section C. Type II Supporting Organizations**

1. Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).

**Section D. All Type III Supporting Organizations**

1. Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?

2. Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).

3. By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," explain in Part VI the role the organization's supported organizations played in this regard.

**Section E. Type III Functionally Integrated Supporting Organizations**

1. Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).

   - **Yes**
   - **No**

   a. The organization satisfied the Activities Test. Complete line 2 below.

   b. The organization is the parent of each of its supported organizations. Complete line 3 below.

   c. The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).

2. Activities Test. **Answer (a) and (b) below.**

   a. Did substantially all of the organization’s activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.

   b. Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization’s supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization’s involvement.

3. Parent of Supported Organizations. **Answer (a) and (b) below.**

   a. Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.

   b. Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.
Schedule A (Form 990 or 990-EZ) 2016

**Part V** Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI.) See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

<table>
<thead>
<tr>
<th>Section A - Adjusted Net Income</th>
<th>(A) Prior Year</th>
<th>(B) Current Year (optional)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Net short-term capital gain</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Recoveries of prior-year distributions</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Other gross income (see instructions)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Add lines 1 through 3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 Depreciation and depletion</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 Other expenses (see instructions)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Section B - Minimum Asset Amount</th>
<th>(A) Prior Year</th>
<th>(B) Current Year (optional)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a Average monthly value of securities</td>
<td>1a</td>
<td></td>
</tr>
<tr>
<td>b Average monthly cash balances</td>
<td>1b</td>
<td></td>
</tr>
<tr>
<td>c Fair market value of other non-exempt-use assets</td>
<td>1c</td>
<td></td>
</tr>
<tr>
<td>d Total (add lines 1a, 1b, and 1c)</td>
<td>1d</td>
<td></td>
</tr>
<tr>
<td>e Discount claimed for blockage or other factors (explain in detail in Part VI):</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Acquisition indebtedness applicable to non-exempt-use assets</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>3 Subtract line 2 from line 1d</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>5 Net value of non-exempt-use assets (subtract line 4 from line 3)</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>6 Multiply line 5 by .035</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>7 Recoveries of prior-year distributions</td>
<td>7</td>
<td></td>
</tr>
<tr>
<td>8 Minimum Asset Amount (add line 7 to line 6)</td>
<td>8</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Section C - Distributable Amount</th>
<th>Current Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Adjusted net income for prior year (from Section A, line 8, Column A)</td>
<td>1</td>
</tr>
<tr>
<td>2 Enter 85% of line 1</td>
<td>2</td>
</tr>
<tr>
<td>3 Minimum asset amount for prior year (from Section B, line 8, Column A)</td>
<td>3</td>
</tr>
<tr>
<td>4 Enter greater of line 2 or line 3</td>
<td>4</td>
</tr>
<tr>
<td>5 Income tax imposed in prior year</td>
<td>5</td>
</tr>
<tr>
<td>6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)</td>
<td>6</td>
</tr>
</tbody>
</table>

Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).
**Part V** Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

### Section D - Distributions

<table>
<thead>
<tr>
<th></th>
<th>Current Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Amounts paid to supported organizations to accomplish exempt purposes</td>
</tr>
<tr>
<td>2</td>
<td>Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity</td>
</tr>
<tr>
<td>3</td>
<td>Administrative expenses paid to accomplish exempt purposes of supported organizations</td>
</tr>
<tr>
<td>4</td>
<td>Amounts paid to acquire exempt-use assets</td>
</tr>
<tr>
<td>5</td>
<td>Qualified set-aside amounts (prior IRS approval required)</td>
</tr>
<tr>
<td>6</td>
<td>Other distributions (describe in <strong>Part VI</strong>). See instructions</td>
</tr>
<tr>
<td>7</td>
<td><strong>Total annual distributions.</strong> Add lines 1 through 6</td>
</tr>
<tr>
<td>8</td>
<td>Distributions to attentive supported organizations to which the organization is responsive (provide details in <strong>Part VI</strong>). See instructions</td>
</tr>
<tr>
<td>9</td>
<td>Distributable amount for 2016 from Section C, line 6</td>
</tr>
<tr>
<td>10</td>
<td>Line 8 amount divided by Line 9 amount</td>
</tr>
</tbody>
</table>

### Section E - Distribution Allocations (see instructions)

<table>
<thead>
<tr>
<th></th>
<th>(i) Excess Distributions</th>
<th>(ii) Underdistributions Pre-2016</th>
<th>(iii) Distributable Amount for 2016</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Distributable amount for 2016 from Section C, line 6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Underdistributions, if any, for years prior to 2016 (reasonable cause required-explain in Part VI). See instructions</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Excess distributions carryover, if any, to 2016:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>c From 2013</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>d From 2014</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>e From 2015</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>f Total of lines 3a through e</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>g Applied to underdistributions of prior years</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>h Applied to 2016 distributable amount</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>i Carryover from 2011 not applied (see instructions)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>j Remainder. Subtract lines 3g, 3h, and 3i from 3f.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Distributions for 2016 from Section D, line 7: $</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a Applied to underdistributions of prior years</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>b Applied to 2016 distributable amount</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>c Remainder. Subtract lines 4a and 4b from 4</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Remaining underdistributions for years prior to 2016, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Remaining underdistributions for 2016. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td><strong>Excess distributions carryover to 2017. Add lines 3j and 4c</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Breakdown of line 7:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>b Excess from 2013</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>c Excess from 2014</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>d Excess from 2015</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>e Excess from 2016</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12;
Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C,
line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V,
Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.
(See instructions.)
<table>
<thead>
<tr>
<th>Filers of:</th>
<th>Section:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Form 990 or 990-EZ</td>
<td></td>
</tr>
<tr>
<td>Form 990-PF</td>
<td></td>
</tr>
</tbody>
</table>

Check if your organization is covered by the General Rule or a Special Rule.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- **X** For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) $5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don’t complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling $5,000 or more during the year …………………………………… ➤ $ ________________________________

**Caution:** An organization that isn’t covered by the General Rule and/or the Special Rules doesn’t file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn’t meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA **For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.** Schedule B (Form 990, 990-EZ, or 990-PF) (2016)
<table>
<thead>
<tr>
<th>(a) No.</th>
<th>(b) Name, address, and ZIP + 4</th>
<th>(c) Total contributions</th>
<th>(d) Type of contribution</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td>$609,670.</td>
<td>Person □ Payroll □ Noncash □</td>
</tr>
<tr>
<td>2</td>
<td></td>
<td>$650,000.</td>
<td>Person □ Payroll □ Noncash □</td>
</tr>
<tr>
<td>3</td>
<td></td>
<td>$260,000.</td>
<td>Person □ Payroll □ Noncash □</td>
</tr>
<tr>
<td>4</td>
<td></td>
<td>$200,000.</td>
<td>Person □ Payroll □ Noncash □</td>
</tr>
<tr>
<td>5</td>
<td></td>
<td>$200,000.</td>
<td>Person □ Payroll □ Noncash □</td>
</tr>
</tbody>
</table>

(Complete Part II for noncash contributions.)
## Part II Noncash Property

(See instructions). Use duplicate copies of Part II if additional space is needed.

<table>
<thead>
<tr>
<th>(a)</th>
<th>(b) Description of noncash property given</th>
<th>(c) FMV (or estimate) (See instructions)</th>
<th>(d) Date received</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>$</td>
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<td></td>
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</tbody>
</table>

Name of organization: AMERICAN ASSOCIATION OF UNIVERSITY WOMEN, INC.
Employer identification number: 52-6037388
For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once.)

<table>
<thead>
<tr>
<th>(a) No. from Part I</th>
<th>(b) Purpose of gift</th>
<th>(c) Use of gift</th>
<th>(d) Description of how gift is held</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>(e) Transfer of gift</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

<table>
<thead>
<tr>
<th>(a) No. from Part I</th>
<th>(b) Purpose of gift</th>
<th>(c) Use of gift</th>
<th>(d) Description of how gift is held</th>
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</thead>
<tbody>
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</tr>
<tr>
<td>(e) Transfer of gift</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

<table>
<thead>
<tr>
<th>(a) No. from Part I</th>
<th>(b) Purpose of gift</th>
<th>(c) Use of gift</th>
<th>(d) Description of how gift is held</th>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(e) Transfer of gift</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

<table>
<thead>
<tr>
<th>(a) No. from Part I</th>
<th>(b) Purpose of gift</th>
<th>(c) Use of gift</th>
<th>(d) Description of how gift is held</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>(e) Transfer of gift</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

<table>
<thead>
<tr>
<th>(a) No. from Part I</th>
<th>(b) Purpose of gift</th>
<th>(c) Use of gift</th>
<th>(d) Description of how gift is held</th>
</tr>
</thead>
<tbody>
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<td></td>
<td></td>
</tr>
<tr>
<td>(e) Transfer of gift</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

Use duplicate copies of Part III if additional space is needed.
**SCHEDULE C**

**Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527

Complete if the organization is described below. Attach to Form 990 or Form 990-EZ.

Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered “Yes,” on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

**Name of organization:** AMERICAN ASSOCIATION OF UNIVERSITY WOMEN, INC.

**Employer identification number:** 52-6037388

**Part I-A**

Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1. Provide a description of the organization's direct and indirect political campaign activities in Part IV.
2. Political campaign activity expenditures .......................................................... $
3. Volunteer hours for political campaign activities ...........................................

**Part I-B**

Complete if the organization is exempt under section 501(c)(3).

1. Enter the amount of any excise tax incurred by the organization under section 4955 .................................................. $
2. Enter the amount of any excise tax incurred by organization managers under section 4955 .................................................. $
3. If the organization incurred a section 4955 tax, did it file Form 4720 for this year? □ Yes □ No
4a. Was a correction made?
4b. If "Yes," describe in Part IV.

**Part I-C**

Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1. Enter the amount directly expended by the filing organization for section 527 exempt function activities .................................................. $
2. Enter the amount of the filing organization’s funds contributed to other organizations for section 527 exempt function activities .................................................. $
3. Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b .................................................. $
4. Did the filing organization file Form 1120-POL for this year? □ Yes □ No
5. Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization’s funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name
(b) Address
(c) EIN
(d) Amount paid from filing organization’s funds. If none, enter 0-.
(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter 0-.

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2016

LHA

632041 11-10-16

09360315 759370 50010-0100 2016.05060 AMERICAN ASSOCIATION OF UNI 50010-11
## Limits on Lobbying Expenditures

(The term "expenditures" means amounts paid or incurred.)

<table>
<thead>
<tr>
<th>Limits on Lobbying Expenditures</th>
<th>(a) Filing organization's totals</th>
<th>(b) Affiliated group totals</th>
</tr>
</thead>
<tbody>
<tr>
<td>a Total lobbying expenditures to influence public opinion (grass roots lobbying)</td>
<td>17,049.</td>
<td></td>
</tr>
<tr>
<td>b Total lobbying expenditures to influence a legislative body (direct lobbying)</td>
<td>163,460.</td>
<td></td>
</tr>
<tr>
<td>c Total lobbying expenditures (add lines 1a and 1b)</td>
<td>180,509.</td>
<td></td>
</tr>
<tr>
<td>d Other exempt purpose expenditures</td>
<td>23,195,411.</td>
<td></td>
</tr>
<tr>
<td>e Total exempt purpose expenditures (add lines 1c and 1d)</td>
<td>23,375,920.</td>
<td></td>
</tr>
<tr>
<td>f Lobbying nontaxable amount. Enter the amount from the following table in both columns.</td>
<td>1,000,000.</td>
<td></td>
</tr>
<tr>
<td>If the amount on line 1e, column (a) or (b) is:</td>
<td>The lobbying nontaxable amount is:</td>
<td></td>
</tr>
<tr>
<td>Not over $500,000</td>
<td>20% of the amount on line 1e.</td>
<td></td>
</tr>
<tr>
<td>Over $500,000 but not over $1,000,000</td>
<td>$100,000 plus 15% of the excess over $500,000.</td>
<td></td>
</tr>
<tr>
<td>Over $1,000,000 but not over $1,500,000</td>
<td>$175,000 plus 10% of the excess over $1,000,000</td>
<td></td>
</tr>
<tr>
<td>Over $1,500,000 but not over $17,000,000</td>
<td>$225,000 plus 5% of the excess over $1,500,000.</td>
<td></td>
</tr>
<tr>
<td>Over $17,000,000</td>
<td>$1,000,000.</td>
<td></td>
</tr>
</tbody>
</table>

| g Grassroots nontaxable amount (enter 25% of line 1f) | 250,000. |
| h Subtract line 1g from line 1a. If zero or less, enter -0-. | 0. |
| i Subtract line 1f from line 1c. If zero or less, enter -0-. | 0. |
| j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? | Yes | No |

### 4-Year Averaging Period Under section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

#### Lobbying Expenditures During 4-Year Averaging Period

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2013</th>
<th>(b) 2014</th>
<th>(c) 2015</th>
<th>(d) 2016</th>
<th>(e) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>2a Lobbying nontaxable amount</td>
<td>1,000,000.</td>
<td>1,000,000.</td>
<td>1,000,000.</td>
<td>1,000,000.</td>
<td>4,000,000.</td>
</tr>
<tr>
<td>b Lobbying ceiling amount (150% of line 2a, column (e))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>6,000,000.</td>
</tr>
<tr>
<td>c Total lobbying expenditures</td>
<td>181,945.</td>
<td>370,386.</td>
<td>244,518.</td>
<td>180,509.</td>
<td>977,358.</td>
</tr>
<tr>
<td>d Grassroots nontaxable amount</td>
<td>250,000.</td>
<td>250,000.</td>
<td>250,000.</td>
<td>250,000.</td>
<td>1,000,000.</td>
</tr>
<tr>
<td>e Grassroots ceiling amount (150% of line 2d, column (e))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>1,500,000.</td>
</tr>
</tbody>
</table>
Schedule C (Form 990 or 990-EZ) 2016

For each "Yes," response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

<table>
<thead>
<tr>
<th>(a)</th>
<th>(b)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>No</td>
</tr>
</tbody>
</table>

1. During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:
   a. Volunteers?
   b. Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?
   c. Media advertisements?
   d. Mailings to members, legislators, or the public?
   e. Publications, or published or broadcast statements?
   f. Grants to other organizations for lobbying purposes?
   g. Direct contact with legislators, their staffs, government officials, or a legislative body?
   h. Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?
   i. Other activities?
   j. Total. Add lines 1c through 1i

2a. Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?
   b. If "Yes," enter the amount of any tax incurred under section 4912
   c. If "Yes," enter the amount of any tax incurred by organization managers under section 4912
   d. If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

<table>
<thead>
<tr>
<th>1</th>
<th>2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>No</td>
</tr>
</tbody>
</table>

1. Were substantially all (90% or more) dues received nondeductible by members?
2. Did the organization make only in-house lobbying expenditures of $2,000 or less?
3. Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year?

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

1. Dues, assessments and similar amounts from members
2. Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).
   a. Current year
   b. Carryover from last year
   c. Total
3. Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues
4. If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?
5. Taxable amount of lobbying and political expenditures (see instructions)

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.
### Part I: Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(a) Donor advised funds</th>
<th>(b) Funds and other accounts</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total number at end of year</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Aggregate value of contributions to (during year)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Aggregate value of grants from (during year)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Aggregate value at end of year</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization’s property, subject to the organization’s exclusive legal control?</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>6</td>
<td>Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?</td>
<td>Yes</td>
<td>No</td>
</tr>
</tbody>
</table>

### Part II: Conservation Easements

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Held at the End of the Tax Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Purpose(s) of conservation easements held by the organization (check all that apply).</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Preservation of land for public use (e.g., recreation or education)</td>
<td>Preservation of a historically important land area</td>
</tr>
<tr>
<td></td>
<td>Protection of natural habitat</td>
<td>Preservation of a certified historic structure</td>
</tr>
<tr>
<td></td>
<td>Preservation of open space</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.</td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Total number of conservation easements</td>
<td>2a</td>
</tr>
<tr>
<td>b</td>
<td>Total acreage restricted by conservation easements</td>
<td>2b</td>
</tr>
<tr>
<td>c</td>
<td>Number of conservation easements on a certified historic structure included in (a)</td>
<td>2c</td>
</tr>
<tr>
<td>d</td>
<td>Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register</td>
<td>2d</td>
</tr>
<tr>
<td>3</td>
<td>Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Number of states where property subject to conservation easement is located</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?</td>
<td>Yes</td>
</tr>
<tr>
<td>6</td>
<td>Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year</td>
<td>$</td>
</tr>
<tr>
<td>8</td>
<td>Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?</td>
<td>Yes</td>
</tr>
<tr>
<td>9</td>
<td>In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.</td>
<td></td>
</tr>
</tbody>
</table>

### Part III: Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Held at the End of the Tax Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.</td>
<td></td>
</tr>
<tr>
<td>1b</td>
<td>If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:</td>
<td></td>
</tr>
<tr>
<td>(i)</td>
<td>Revenue included on Form 990, Part VIII, line 1</td>
<td>$</td>
</tr>
<tr>
<td>(ii)</td>
<td>Assets included in Form 990, Part X</td>
<td>$</td>
</tr>
<tr>
<td>2a</td>
<td>If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:</td>
<td></td>
</tr>
<tr>
<td>(i)</td>
<td>Revenue included on Form 990, Part VIII, line 1</td>
<td>$</td>
</tr>
<tr>
<td>(ii)</td>
<td>Assets included in Form 990, Part X</td>
<td>$</td>
</tr>
</tbody>
</table>
Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
   a  Public exhibition  
   b  Scholarly research  
   c  Preservation for future generations  
   d  Loan or exchange programs  
   e  Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  
   Yes  No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  
   Yes  No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

<table>
<thead>
<tr>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1c</td>
</tr>
<tr>
<td>1d</td>
</tr>
<tr>
<td>1e</td>
</tr>
<tr>
<td>1f</td>
</tr>
</tbody>
</table>

d Beginning balance

e Additions during the year

f Distributions during the year

f Ending balance

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  
   Yes  No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

Part V Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

1a Beginning of year balance

(b) Prior year

(c) Two years back

(d) Three years back

(e) Four years back

1b Contributions

1c Net investment earnings, gains, and losses

1d Grants or scholarships

1e Other expenditures for facilities and programs

1f Administrative expenses

1g End of year balance

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
   a  Board designated or quasi-endowment ★ 72.70%
   b  Permanent endowment ★ 27.30%
   c  Temporarily restricted endowment ★

3 Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
   a  Unrelated organizations
   b  Related organizations

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

<table>
<thead>
<tr>
<th>Description of property</th>
<th>(a) Cost or other basis (investment)</th>
<th>(b) Cost or other basis (other)</th>
<th>(c) Accumulated depreciation</th>
<th>(d) Book value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a Land</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1b Buildings</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1c Leasehold improvements</td>
<td></td>
<td>4,266,594.</td>
<td>299,476.</td>
<td>3,967,118.</td>
</tr>
<tr>
<td>1d Equipment</td>
<td></td>
<td>2,350,156.</td>
<td>713,801.</td>
<td>1,636,355.</td>
</tr>
<tr>
<td>1e Other</td>
<td></td>
<td>157,106.</td>
<td>68,564.</td>
<td>88,542.</td>
</tr>
</tbody>
</table>

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.) ★ 5,692,015.
### Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

<table>
<thead>
<tr>
<th>(a) Description of security or category (including name of security)</th>
<th>(b) Book value</th>
<th>(c) Method of valuation: Cost or end-of-year market value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) Financial derivatives</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2) Closely-held equity interests</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3) Other</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(A) ALTERNATIVE INVESTMENTS</td>
<td>14,203,527</td>
<td>END-OF-YEAR MARKET VALUE</td>
</tr>
<tr>
<td>(B) MINERAL RIGHTS</td>
<td>137,368</td>
<td>END-OF-YEAR MARKET VALUE</td>
</tr>
<tr>
<td>(C)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(D)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(E)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(F)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(G)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(H)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) 14,340,895.

### Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

<table>
<thead>
<tr>
<th>(a) Description of investment</th>
<th>(b) Book value</th>
<th>(c) Method of valuation: Cost or end-of-year market value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(4)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(5)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(6)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(7)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(8)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(9)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.)

### Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

<table>
<thead>
<tr>
<th>(a) Description</th>
<th>(b) Book value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td></td>
</tr>
<tr>
<td>(2)</td>
<td></td>
</tr>
<tr>
<td>(3)</td>
<td></td>
</tr>
<tr>
<td>(4)</td>
<td></td>
</tr>
<tr>
<td>(5)</td>
<td></td>
</tr>
<tr>
<td>(6)</td>
<td></td>
</tr>
<tr>
<td>(7)</td>
<td></td>
</tr>
<tr>
<td>(8)</td>
<td></td>
</tr>
<tr>
<td>(9)</td>
<td></td>
</tr>
</tbody>
</table>

Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)

### Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability | (b) Book value |
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) Federal income taxes</td>
<td>39,747</td>
</tr>
<tr>
<td>(2) SECURITY DEPOSITS</td>
<td>183,673</td>
</tr>
<tr>
<td>(3) POOLED INCOME FUNDS</td>
<td>443,680</td>
</tr>
<tr>
<td>(4) CHARITABLE GIFT ANNUITIES</td>
<td>6,054</td>
</tr>
<tr>
<td>(5) IRA SWEEPSTAKES</td>
<td>6,631,917</td>
</tr>
<tr>
<td>(6) DEFERRED RENT AND LEASE INCENTIVE</td>
<td>46,383</td>
</tr>
<tr>
<td>(7) DUE TO AAUW ACTION FUND, INC</td>
<td>1,361,634</td>
</tr>
<tr>
<td>(8) DUE TO STEM PROGRAMS</td>
<td>71,890</td>
</tr>
<tr>
<td>(9) DEFERRED SUBLEASE REVENUE</td>
<td>8,784,978</td>
</tr>
</tbody>
</table>

Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) 8,784,978.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization’s financial statements that reports the organization’s liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII. 

Schedule D (Form 990) 2016
**Schedule D (Form 990) 2016**

**AMERICAN ASSOCIATION OF UNIVERSITY WOMEN, INC.**

**52-6037388 Page 4**

**Part XI** Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total revenue, gains, and other support per audited financial statements</td>
</tr>
<tr>
<td>2</td>
<td>Amounts included on line 1 but not on Form 990, Part VIII, line 12:</td>
</tr>
<tr>
<td>a</td>
<td>Net unrealized gains (losses) on investments</td>
</tr>
<tr>
<td>b</td>
<td>Donated services and use of facilities</td>
</tr>
<tr>
<td>c</td>
<td>Recoveries of prior year grants</td>
</tr>
<tr>
<td>d</td>
<td>Other (Describe in Part XIII.)</td>
</tr>
<tr>
<td>e</td>
<td>Add lines 2a through 2d</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2e from line 1</td>
</tr>
<tr>
<td>4</td>
<td>Amounts included on Form 990, Part VIII, line 12, but not on line 1:</td>
</tr>
<tr>
<td>a</td>
<td>Investment expenses not included on Form 990, Part VIII, line 7b</td>
</tr>
<tr>
<td>b</td>
<td>Other (Describe in Part XIII.)</td>
</tr>
<tr>
<td>c</td>
<td>Add lines 4a and 4b</td>
</tr>
<tr>
<td>5</td>
<td>Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)</td>
</tr>
</tbody>
</table>

**Part XII** Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total expenses and losses per audited financial statements</td>
</tr>
<tr>
<td>2</td>
<td>Amounts included on line 1 but not on Form 990, Part IX, line 25:</td>
</tr>
<tr>
<td>a</td>
<td>Donated services and use of facilities</td>
</tr>
<tr>
<td>b</td>
<td>Prior year adjustments</td>
</tr>
<tr>
<td>c</td>
<td>Other losses</td>
</tr>
<tr>
<td>d</td>
<td>Other (Describe in Part XIII.)</td>
</tr>
<tr>
<td>e</td>
<td>Add lines 2a through 2d</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2e from line 1</td>
</tr>
<tr>
<td>4</td>
<td>Amounts included on Form 990, Part IX, line 25, but not on line 1:</td>
</tr>
<tr>
<td>a</td>
<td>Investment expenses not included on Form 990, Part VIII, line 7b</td>
</tr>
<tr>
<td>b</td>
<td>Other (Describe in Part XIII.)</td>
</tr>
<tr>
<td>c</td>
<td>Add lines 4a and 4b</td>
</tr>
<tr>
<td>5</td>
<td>Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)</td>
</tr>
</tbody>
</table>

**Part XIII** Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART V, LINE 4:**

THE ENDOWMENT FUNDS ARE MAINTAINED TO FUND SCHOLARSHIPS AND GRANTS FOR THE AMERICAN AND INTERNATIONAL FELLOWSHIPS, CAREER DEVELOPMENT GRANTS, SELECTED PROFESSIONS GRANTS AND RESEARCH AND PROJECTS.

**PART X, LINE 2:**

THE ORGANIZATION REQUIRES THAT A TAX POSITION BE RECOGNIZED OR DERECOGNIZED BASED ON A "MORE-LIKELY-THAN-NOT" THRESHOLD. THIS APPLIES TO POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN A TAX RETURN. THE ORGANIZATION DOES NOT BELIEVE ITS CONSOLIDATED FINANCIAL STATEMENTS INCLUDE, OR REFLECT, ANY UNCERTAIN TAX POSITIONS.
THE ORGANIZATION'S IRS FORM 990, RETURN OF ORGANIZATION EXEMPT FROM INCOME TAX, AND IRS FORMS 990-T, EXEMPT ORGANIZATION BUSINESS INCOME TAX RETURN AND RELATED STATE FILINGS ARE SUBJECT TO EXAMINATION BY FEDERAL AND STATE TAXING AUTHORITIES, GENERALLY FOR THREE YEARS AFTER THEY WERE FILED.
## Part I: General Information on Activities Outside the United States

For grantmakers, Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees’ eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>

Describe in Part V the organization’s procedures for monitoring the use of its grants and other assistance outside the United States.

### Activities per Region

<table>
<thead>
<tr>
<th>(a) Region</th>
<th>(b) Number of offices in the region</th>
<th>(c) Number of employees, agents, and independent contractors in the region</th>
<th>(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)</th>
<th>(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region</th>
<th>(f) Total expenditures for and investments in the region</th>
</tr>
</thead>
<tbody>
<tr>
<td>SUB-SAHARAN AFRICA</td>
<td>0</td>
<td>0</td>
<td>GRANTS TO RECIPIENTS INTERNATIONAL PROJECT</td>
<td></td>
<td>6,900.</td>
</tr>
<tr>
<td>SUB-SAHARAN AFRICA</td>
<td>0</td>
<td>0</td>
<td>GRANTS TO RECIPIENTS INTERNATIONAL PROJECT</td>
<td></td>
<td>6,890.</td>
</tr>
<tr>
<td>EAST ASIA AND THE PACIFIC</td>
<td>0</td>
<td>0</td>
<td>GRANTS TO RECIPIENTS INTERNATIONAL PROJECT</td>
<td></td>
<td>6,915.</td>
</tr>
</tbody>
</table>

### Sub-totals

<table>
<thead>
<tr>
<th>Description</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>3a Sub-total</td>
<td>0</td>
</tr>
<tr>
<td>b Total from continuation sheets to Part I</td>
<td>0</td>
</tr>
<tr>
<td>c Totals (add lines 3a and 3b)</td>
<td>20,705.</td>
</tr>
</tbody>
</table>

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.
**Part II Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

<table>
<thead>
<tr>
<th></th>
<th>(a) Name of organization</th>
<th>(b) IRS code section and EIN (if applicable)</th>
<th>(c) Region</th>
<th>(d) Purpose of grant</th>
<th>(e) Amount of cash grant</th>
<th>(f) Manner of cash disbursement</th>
<th>(g) Amount of noncash assistance</th>
<th>(h) Description of noncash assistance</th>
<th>(i) Method of valuation (book, FMV, appraisal, other)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2. Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter.

3. Enter total number of other organizations or entities.
### Part III Grants and Other Assistance to Individuals Outside the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

<table>
<thead>
<tr>
<th>Type of grant or assistance</th>
<th>Region</th>
<th>Number of recipients</th>
<th>Amount of cash grant</th>
<th>Manner of cash disbursement</th>
<th>Amount of noncash assistance</th>
<th>Description of noncash assistance</th>
<th>Method of valuation</th>
</tr>
</thead>
<tbody>
<tr>
<td>INTERNATIONAL FELLOWSHIP</td>
<td>SUB-SAHARIAN AFRICA</td>
<td>1</td>
<td>$6,900</td>
<td>ELECTRONIC WIRE TRANSFER</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>INTERNATIONAL FELLOWSHIP</td>
<td>SUB-SAHARIAN AFRICA</td>
<td>1</td>
<td>$6,890</td>
<td>ELECTRONIC WIRE TRANSFER</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>INTERNATIONAL FELLOWSHIP</td>
<td>SUB-SAHARIAN AFRICA</td>
<td>1</td>
<td>$6,915</td>
<td>ELECTRONIC WIRE TRANSFER</td>
<td>0</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Part IV Foreign Forms

1  Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926) □ Yes ☒ No

2  Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990) □ Yes ☒ No

3  Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471) □ Yes ☒ No

4  Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621) □ Yes ☒ No

5  Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865) □ Yes ☒ No

6  Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990) □ Yes ☒ No

Schedule F (Form 990) 2016
Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

PART I, LINE 2:

FOR FUNDS AWARDED THROUGH AAUW INC’S. INTERNATIONAL PROJECT GRANT/HOME COUNTRY GRANT, FELLOWS ARE REQUIRED TO GIVE AN ACCOUNTING TWICE DURING THE FELLOWSHIP YEAR. SUCH ACCOUNTING MAY INCLUDE PROJECT PROGRESS, INSTITUTIONAL CERTIFICATION OF GOOD STANDING, TRANSCRIPTS AND BUDGET EXPENDITURES. FELLOWS ARE ALSO REQUIRED TO REQUEST, IN ADVANCE, ANY CHANGES TO THEIR PROJECT PLAN AND BUDGET, WHICH ARE APPROVED BY THE PROGRAM OFFICER TO ENSURE THEY MEET FELLOWSHIP GUIDELINES.
### Part I: Fundraising Activities

1. Indicate whether the organization raised funds through any of the following activities. Check all that apply.
   -a) Mail solicitations
   -b) Internet and email solicitations
   -c) Phone solicitations
   -d) In-person solicitations

2. Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? 
   - X Yes  
   - No

#### (i) Name and address of individual or entity (fundraiser)  
#### (ii) Activity  
#### (iii) Did fundraiser have custody or control of contributions?  
#### (iv) Gross receipts from activity  
#### (v) Amount paid to (or retained by) fundraiser listed in col. (i)  
#### (vi) Amount paid to (or retained by) organization

<table>
<thead>
<tr>
<th>Name and address of individual or entity (fundraiser)</th>
<th>Activity</th>
<th>Did fundraiser have custody or control of contributions?</th>
<th>Gross receipts from activity</th>
<th>Amount paid to (or retained by) fundraiser listed in col. (i)</th>
<th>Amount paid to (or retained by) organization</th>
</tr>
</thead>
<tbody>
<tr>
<td>SD&amp;A TELESERVICES, INC - 5757 WEST CENTURY BLVD, #300, LOS ANGELES</td>
<td>TELEMARKETING/MEMBERSHIP DEVELOPMENT</td>
<td>X</td>
<td>7,476.</td>
<td>12,105.</td>
<td>-4,629.</td>
</tr>
<tr>
<td>CHAPMAN CUBINE &amp; HUSSEY - 2000 15TH STREET, SUITE 500</td>
<td>TELEMARKETING/MEMBERSHIP DEVELOPMENT</td>
<td>X</td>
<td>0.</td>
<td>345,025.</td>
<td>-345,025.</td>
</tr>
<tr>
<td>HAZEN INC. - 906 PENNSYLVANIA AVE SE, WASHINGTON, DC 20003</td>
<td>CONSULTANT</td>
<td>X</td>
<td>0.</td>
<td>46,000.</td>
<td>-46,000.</td>
</tr>
</tbody>
</table>

**Total**  
111,788.  
509,262.  
-397,474.

3. List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

AL, AK, AZ, AR, CA, CO, CT, DC, FL, GA, HI, ID, IL, IN, KS, LA, ME, MD, MA, MI, MN, MS, MO, NH, NJ, NM, NY, NC, OH, OK, OR, PA, RI, SC, TN, UT, VA, WA, WV, WI, KY
### Part II  Fundraising Events

Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than $5,000.

<table>
<thead>
<tr>
<th>Revenue</th>
<th>(a) Event #1</th>
<th>(b) Event #2</th>
<th>(c) Other events</th>
<th>(d) Total events (add col. (a) through col. (c))</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Gross receipts</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Less: Contributions</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Gross income (line 1 minus line 2)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Cash prizes</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 Noncash prizes</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 Rent/facility costs</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 Food and beverages</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Entertainment</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9 Other direct expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10 Direct expense summary. Add lines 4 through 9 in column (d)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 Net income summary. Subtract line 10 from line 3, column (d)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Part III  Gaming

Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

<table>
<thead>
<tr>
<th>Revenue</th>
<th>(a) Bingo</th>
<th>(b) Pull tabs/instant bingo/progressive bingo</th>
<th>(c) Other gaming</th>
<th>(d) Total gaming (add col. (a) through col. (c))</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Gross revenue</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Cash prizes</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Noncash prizes</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Rent/facility costs</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 Other direct expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 Volunteer labor</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 Direct expense summary. Add lines 2 through 5 in column (d)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Net gaming income summary. Subtract line 7 from line 1, column (d)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

9 Enter the state(s) in which the organization conducts gaming activities:

- a Is the organization licensed to conduct gaming activities in each of these states? Yes ☐ No ☐
- b If "No," explain:

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? Yes ☐ No ☐
- b If "Yes," explain:

---

Schedule G (Form 990 or 990-EZ) 2016
11 Does the organization conduct gaming activities with nonmembers? □ Yes □ No

12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming? □ Yes □ No

13 Indicate the percentage of gaming activity conducted in:
   a The organization’s facility
   b An outside facility

14 Enter the name and address of the person who prepares the organization’s gaming/special events books and records:
   Name ▶
   Address ▶

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? □ Yes □ No

   b If “Yes,” enter the amount of gaming revenue received by the organization ▶ $__________ and the amount of gaming revenue retained by the third party ▶ $__________

   c If “Yes,” enter name and address of the third party:
   Name ▶
   Address ▶

16 Gaming manager information:
   Name ▶
   Gaming manager compensation ▶ $__________
   Description of services provided ▶

   □ Director/officer □ Employee □ Independent contractor

17 Mandatory distributions:
   a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? □ Yes □ No
   b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization’s own exempt activities during the tax year ▶ $__________

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions

SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:

(I) NAME OF FUNDRAISER: GORDON & SCHWENKMEYER, INC.

(I) ADDRESS OF FUNDRAISER:
360 N. SEPULVEDA BLVD, #1055, EL SEGUNDO, CA 90245

(I) NAME OF FUNDRAISER: SD&A TELESERVICES, INC

(I) ADDRESS OF FUNDRAISER:
5757 WEST CENTURY BLVD, #300, LOS ANGELES, CA 90045
(I) NAME OF FUNDRAISER: CHAPMAN CUBINE & HSSEY

(I) ADDRESS OF FUNDRAISER:

2000 15TH STREET, SUITE 500, ARLINGTON, VA 22201
**SCHEDULE I**

*Grants and Other Assistance to Organizations, Governments, and Individuals in the United States*

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22. 

Attach to Form 990.

Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

---

**Name of the organization**: AMERICAN ASSOCIATION OF UNIVERSITY WOMEN, INC.

**Employer identification number**: 52-6037388

---

### Part I  General Information on Grants and Assistance

1. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  
   - **Yes**  
   - **No**

2. Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

---

### Part II  Grants and Other Assistance to Domestic Organizations and Domestic Governments

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

<table>
<thead>
<tr>
<th>(a) Name and address of organization or government</th>
<th>(b) EIN</th>
<th>(c) IRC section (if applicable)</th>
<th>(d) Amount of cash grant</th>
<th>(e) Amount of non-cash assistance</th>
<th>(f) Method of valuation (book, FMV, appraisal, other)</th>
<th>(g) Description of noncash assistance</th>
<th>(h) Purpose of grant or assistance</th>
</tr>
</thead>
</table>
| SURVJUSTICE, INC  
1015 15TH STREET, NW, SUITE 632  
WASHINGTON, DC 20005 | 47-1235373 | 501C(3) | 5,000. | 0. | SPECIAL AWARDS |
| AAUW ISLIP AREA NY  
532 OCEAN AVENUE | 11-2869516 | 501C(4) | 18,150. | 0. | COMMUNITY ACTION GRANTS |
| WOMEN'S CENTER AT BROOKLYN COLLEGE  
2900 BEDFORD AVENUE  
BROOKLYN, NY 11230 | 11-1904329 | 501C(3) | 7,000. | 0. | COMMUNITY ACTION GRANTS |
| SAN MATEO COUNTY SHERIFFS  
ACTIVITIES LEAGUE - 3151 EDISON WAY - REDWOOD CITY, CA 94063 | 45-0617342 | 501C(3) | 5,000. | 0. | COMMUNITY ACTION GRANTS |
| JUVENILE JUSTICE COALITION  
PO BOX 1016  
WORTHINGTON, OH 43085 | 34-1754680 | 501C(3) | 5,000. | 0. | COMMUNITY ACTION GRANTS |
| THE VIOLA PROJECT  
1020 W. BRYN MAWR  
CHICAGO, IL 50550 | 45-4401545 | 501C(3) | 7,000. | 0. | COMMUNITY ACTION GRANTS |

2. Enter total number of section 501(c)(3) and government organizations listed in the line 1 table  
   - **39.**

3. Enter total number of other organizations listed in the line 1 table  
   - **7.**

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.
### Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States

<table>
<thead>
<tr>
<th>(a) Name and address of organization or government</th>
<th>(b) EIN</th>
<th>(c) IRC section if applicable</th>
<th>(d) Amount of cash grant</th>
<th>(e) Amount of non-cash assistance</th>
<th>(f) Method of valuation (book, FMV, appraisal, other)</th>
<th>(g) Description of non-cash assistance</th>
<th>(h) Purpose of grant or assistance</th>
</tr>
</thead>
<tbody>
<tr>
<td>YWCA OF CORTLAND</td>
<td>14-0536617</td>
<td>501C(3)</td>
<td>5,000.00</td>
<td>0.00</td>
<td></td>
<td>COMMUNITY ACTION GRANTS</td>
<td></td>
</tr>
<tr>
<td>14 CLAYTON AVE.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CORTLAND, NY 13045</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>YWCA MISSOULA</td>
<td>81-0245851</td>
<td>501C(3)</td>
<td>7,000.00</td>
<td>0.00</td>
<td></td>
<td>COMMUNITY ACTION GRANTS</td>
<td></td>
</tr>
<tr>
<td>1130 W BROADWAY ST</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>MISSOULA, MT 59802</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CHRYSALIS FOUNDATION</td>
<td>42-1337635</td>
<td>501C(3)</td>
<td>5,130.00</td>
<td>0.00</td>
<td></td>
<td>COMMUNITY ACTION GRANTS</td>
<td></td>
</tr>
<tr>
<td>300 EAST LOCUST STREET</td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>DES MOINES, IA 50309</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>WHITAKER CENTER FOR STEM EDUCATION</td>
<td>65-0403969</td>
<td>501C(3)</td>
<td>6,984.00</td>
<td>0.00</td>
<td></td>
<td>COMMUNITY ACTION GRANTS</td>
<td></td>
</tr>
<tr>
<td>AT FLORIDA GULF COAST UNIVERSITY - 10501 FGCU BLVD. S. - FORT MYERS, FL 33965</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>YWCA BROOKLYN</td>
<td>11-1630919</td>
<td>501C(3)</td>
<td>7,000.00</td>
<td>0.00</td>
<td></td>
<td>COMMUNITY ACTION GRANTS</td>
<td></td>
</tr>
<tr>
<td>30 THIRD AVE.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>BROOKLYN, NY 11217</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>OUR SISTERS' SCHOOL, LLC</td>
<td>26-0367118</td>
<td>501C(3)</td>
<td>7,000.00</td>
<td>0.00</td>
<td></td>
<td>COMMUNITY ACTION GRANTS</td>
<td></td>
</tr>
<tr>
<td>145 BROWNELL AVENUE</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NEW BEDFORD, MA 02740</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>YWCA PASadena-FOOTHILL VALLEY</td>
<td>95-1644059</td>
<td>501C(3)</td>
<td>7,000.00</td>
<td>0.00</td>
<td></td>
<td>COMMUNITY ACTION GRANTS</td>
<td></td>
</tr>
<tr>
<td>50 N. HILL AVE, SUITE 301</td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PASadena, CA 91106</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>YWCA METROPOLITAN PHOENIX</td>
<td>86-0098936</td>
<td>501C(3)</td>
<td>5,000.00</td>
<td>0.00</td>
<td></td>
<td>COMMUNITY ACTION GRANTS</td>
<td></td>
</tr>
<tr>
<td>2999 N. 44TH STREET</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PHOENIX, AZ 85018</td>
<td></td>
<td></td>
<td></td>
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<td></td>
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### Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States

<table>
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<tr>
<th>(a) Name and address of organization or government</th>
<th>(b) EIN</th>
<th>(c) IRC section if applicable</th>
<th>(d) Amount of cash grant</th>
<th>(e) Amount of non-cash assistance</th>
<th>(f) Method of valuation (book, FMV, appraisal, other)</th>
<th>(g) Description of non-cash assistance</th>
<th>(h) Purpose of grant or assistance</th>
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<td>Method of valuation (book, FMV, appraisal, other)</td>
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<td>(d) Amount of cash grant</td>
<td>(e) Amount of non-cash assistance</td>
<td>(f) Method of valuation (book, FMV, appraisal, other)</td>
<td>(g) Description of non-cash assistance</td>
<td>(h) Purpose of grant or assistance</td>
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<td>IRC section if applicable</td>
<td>Amount of cash grant</td>
<td>Amount of non-cash assistance</td>
<td>Method of valuation (book, FMV, appraisal, other)</td>
<td>Description of non-cash assistance</td>
<td>Purpose of grant or assistance</td>
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<td>THE U.S. CAPITOL HISTORICAL SOCIETY - 200 MARYLAND AVENUE, NE - WASHINGTON, DC 20002</td>
<td>52-0796820</td>
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### Part III Grants and Other Assistance to Domestic Individuals

Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

<table>
<thead>
<tr>
<th>(a) Type of grant or assistance</th>
<th>(b) Number of recipients</th>
<th>(c) Amount of cash grant</th>
<th>(d) Amount of non-cash assistance</th>
<th>(e) Method of valuation</th>
<th>(f) Description of noncash assistance</th>
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<td>CAREER DEVELOPMENT GRANTS</td>
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<td>610,576.</td>
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### Part IV Supplemental Information

Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

**PART I, LINE 2:**

FELLOWS AND GRANTEES ARE REQUIRED TO GIVE AN ACCOUNTING TWICE DURING THE GRANT/FELLOWSHIP PERIOD. SUCH ACCOUNTING MAY INCLUDE PROJECT PROGRESS, INSTITUTIONAL CERTIFICATION OF GOOD STANDING, TRANSCRIPTS AND BUDGET EXPENDITURES. RECEIPT OF THE SECOND GRANT/FELLOWSHIP PAYMENT IS CONTINGENT UPON THE SUBMISSION OF A SATISFACTORY MID-TERM REPORT, INCLUDING FINANCIALS. GRANTEES AND FELLOWS ARE ALSO REQUIRED TO REQUEST, IN ADVANCE, ANY CHANGES TO THEIR PROJECT PLAN AND BUDGET, WHICH ARE APPROVED BY THE PROGRAM OFFICER TO ENSURE THEY MEET GRANT/FELLOWSHIP GUIDELINES.
<table>
<thead>
<tr>
<th>(a) Type of grant or assistance</th>
<th>(b) Number of recipients</th>
<th>(c) Amount of cash grant</th>
<th>(d) Amount of non-cash assistance</th>
<th>(e) Method of valuation (book, FMV, appraisal, other)</th>
<th>(f) Description of non-cash assistance</th>
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<td>0, FMV</td>
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</table>
Part I  Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- First-class or charter travel
- Travel for companions
- Tax indemnification and gross-up payments
- Discretionary spending account
- Housing allowance or residence for personal use
- Payments for business use of personal residence
- Health or social club dues or initiation fees
- Personal services (such as, maid, chauffeur, chef)

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization’s CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- Compensation committee
- Independent compensation consultant
- Written employment contract
- Compensation survey or study
- Approval by the board or compensation committee

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:
   a Receive a severance payment or change-of-control payment?
   b Participate in, or receive payment from, a supplemental nonqualified retirement plan?
   c Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:
   a The organization?
   b Any related organization?

If "Yes" on line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:
   a The organization?
   b Any related organization?

If "Yes" on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III.

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

LHA  For Paperwork Reduction Act Notice, see the Instructions for Form 990.
For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren’t listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

<table>
<thead>
<tr>
<th>(A) Name and Title</th>
<th>(B) Breakdown of W-2 and/or 1099-MISC compensation</th>
<th>(C) Retirement and other deferred compensation</th>
<th>(D) Nontaxable benefits</th>
<th>(E) Total of columns (B)(i)-(D)</th>
<th>(F) Compensation in column (B) reported as deferred on prior Form 990</th>
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<td>(i) 225,045. 325,683. 0. 18,593. 7,153. 576,474.</td>
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<td>CHIEF EXECUTIVE OFFICER</td>
<td>(ii) 1,878. 2,717. 0. 155. 60. 4,810.</td>
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<td>(2) MARK HOPKINS</td>
<td>(i) 276,242. 0. 0. 33,588. 9,015. 318,845.</td>
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<td>CHIEF STRATEGY OFFICER</td>
<td>(ii) 2,674. 0. 0. 325. 87. 3,086.</td>
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<td>(3) JILL BIRDWHISTELL</td>
<td>(i) 220,428. 139,427. 0. 32,130. 11,592. 403,577.</td>
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<td>COO</td>
<td>(ii) 2,173. 1,374. 0. 317. 114. 3,978.</td>
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<td>(4) GLORIA BLACKWELL</td>
<td>(i) 136,592. 0. 0. 9,005. 7,472. 153,069.</td>
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<td>(5) FONG-CHING CHENG</td>
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<td>VP OF INFORMATION TECHNOLO</td>
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<td>(6) CHRISTY JONES</td>
<td>(i) 163,551. 0. 0. 16,140. 8,366. 188,057.</td>
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<td>VP OF MEMBERSHIP</td>
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<td>(7) LISA MATZ</td>
<td>(i) 197,830. 0. 0. 19,378. 7,331. 224,539.</td>
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<td>VP OF PUBLIC POLICY</td>
<td>(ii) 13,979. 0. 0. 1,369. 518. 15,866.</td>
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</table>
Part I, Line 1A:

THE AMOUNTS PAID FOR SOCIAL CLUB DUES ARE MADE FOR ORGANIZATIONAL AND NOT PERSONAL PURPOSES AND ARE THEREFORE NOT TAXED.

Part I, Line 4A:

LINDA HALLMAN RECEIVED SEVERANCE OF $328,400 DURING THE CALENDAR YEAR 2016

JILL BIRDWHISTELL RECEIVED SEVERANCE OF $140,801 DURING THE CALENDAR YEAR 2016
Noncash Contributions

Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
Attach to Form 990.
Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.

Part I  Types of Property

<table>
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<tr>
<th></th>
<th>(a)</th>
<th>(b)</th>
<th>(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g</th>
<th>(d) Method of determining noncash contribution amounts</th>
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<tr>
<td>1</td>
<td>Art · Works of art</td>
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<td>2</td>
<td>Art · Historical treasures</td>
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<td>3</td>
<td>Art · Fractional interests</td>
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<td>4</td>
<td>Books and publications</td>
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<td>5</td>
<td>Clothing and household goods</td>
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<td>6</td>
<td>Cars and other vehicles</td>
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<td>Boats and planes</td>
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<td>8</td>
<td>Intellectual property</td>
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<td>9</td>
<td>Securities · Publicly traded</td>
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<td>11</td>
<td>Securities · Partnership, LLC, or trust interests</td>
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<td>Securities · Miscellaneous</td>
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<td>Qualified conservation contribution · Historic structures</td>
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<td>Real estate · Other</td>
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<td>28</td>
<td>Other</td>
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</table>

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement …………… 29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn’t required to be used for exempt purposes for the entire holding period? 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Part II Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.
**FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:**

**PROJECTS, COMMUNITY ACTION PROJECTS, CAMPUS OUTREACH PROGRAMS, STUDENT ADVISORY COUNCIL, AND STEM EDUCATION STUDENT PROGRAMS.**

---

**FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:**

**OTHER PROGRAMS**

EXPENSES $137,839. INCLUDING GRANTS OF $463. REVENUE $0.

---

**ADVOCACY - INCLUDES AAUW'S POLICY PROGRAMS, CIVIC ENGAGEMENT, AND ACTIVITIES TO CONNECT AND RALLY MEMBERS, SUPPORTERS AND ADVOCATES TO ADVANCE ITS MISSION TO EMPOWER WOMEN AND GIRLS AT THE NATIONAL AND STATE LEVELS.**

EXPENSES $1,295,295. INCLUDING GRANTS OF $11,000. REVENUE $0.

---

**CONVENING - INCLUDES ACTIVITIES RELATED TO THE AAUW BIENNIAL CONVENTION, ANNUAL STATE CONVENTIONS, COLLABORATIVE CONVENING, AND THE NATIONAL CONFERENCE ON COLLEGE WOMEN STUDENT LEADERS (NCCWSL).**

EXPENSES $1,444,280. INCLUDING GRANTS OF $0. REVENUE $711,619.

---

**INTERNATIONAL FELLOWSHIPS - INTERNATIONAL FELLOWSHIPS ARE AWARDED TO WOMEN WHO ARE NOT CITIZENS OR PERMANENT RESIDENTS OF THE UNITED STATES FOR POSTDOCTORAL OR DISSERTATION WORK, OR FOR GRANTS TO PREPARE RESEARCH FOR PUBLICATION. PREFERENCE IS GIVEN TO WOMEN RESIDING IN THEIR HOME COUNTRY AT THE TIME OF APPLICATION.**

EXPENSES $1,171,689. INCLUDING GRANTS OF $1,034,526. REVENUE $0.
LEGAL ADVOCACY FUND (LAF) - LAF WORKS TO COMBAT SEX AND GENDER
DISCRIMINATION, INCLUDING FUNDING FOR LEGAL CASES IN HIGHER EDUCATION
AND THE WORKPLACE, COMMUNITY AND CAMPUS PROGRAMS, VARIOUS RESEARCH
REPORTS, A LAWYER REFERRAL NETWORK AND ONLINE ADVOCACY TOOLS AND
RESOURCES.
EXPENSES $ 144,963. INCLUDING GRANTS OF $ 36,691. REVENUE $ 0.

FORM 990, PART VI, SECTION A, LINE 1:
EXECUTIVE COMMITTEE-THE EXECUTIVE COMMITTEE OF THE BOARD OF DIRECTORS
CONSISTS OF THE BOARD CHAIR, BOARD VICE CHAIR, BOARD FINANCE VICE CHAIR,
BOARD SECRETARY, AND TWO ADDITIONAL DIRECTORS. THE CHIEF EXECUTIVE OFFICER
SERVES EX-OFFICIO WITHOUT A VOTE.

FORM 990, PART VI, SECTION A, LINE 3:
AAUW, INC. DELEGATES CHIEF FINANCIAL OFFICER MANAGEMENT DUTIES TO AN
OUTSOURCED PUBLIC ACCOUNTING FIRM.

FORM 990, PART VI, SECTION A, LINE 6:
AAUW, INC. HAS MEMBERS AND RECEIVES MEMBER DUES.

FORM 990, PART VI, SECTION A, LINE 7A:
AAUW USES A BIENNIAL ELECTRONIC VOTING PROCEDURE TO ALLOW THE MEMBERSHIP TO
ELECT TWELVE OUT OF THE FIFTEEN MEMBERS OF THE BOARD OF DIRECTORS,
INCLUDING PRESIDENT AND VICE PRESIDENT. THE BOARD OF DIRECTORS APPOINTS
THREE ADDITIONAL BOARD MEMBERS.

FORM 990, PART VI, SECTION A, LINE 7B:
MEMBERS ARE ENTITLED TO VOTE ON THE ELECTION OF THE BOARD OF DIRECTORS,
ADOPTION OR AMENDMENT OF THE PUBLIC POLICY PROGRAM, RESOLUTIONS, AND
AMENDMENTS TO THE BYLAWS.

FORM 990, PART VI, SECTION B, LINE 11B:
FORM 990 IS REVIEWED BY THE FINANCE COMMITTEE AND BOARD OF DIRECTORS BEFORE
FILING. THE RETURN IS APPROVED BY THE CEO AND THE FINANCE VICE CHAIR.

FORM 990, PART VI, SECTION B, LINE 12C:
THE ORGANIZATION REQUIRES DIRECTORS AND OFFICERS TO SIGN A BIANNUAL
AFFIRMATION OF COMPLIANCE TO DISCLOSE ANY POTENTIAL SITUATION THAT MAY
RAISE CONFLICT OF INTEREST TO THE BOARD OF DIRECTORS.

FORM 990, PART VI, SECTION B, LINE 15A:
THE CHIEF EXECUTIVE OFFICER (CEO) HAS AN EMPLOYMENT AGREEMENT WITH AAUW.
THE BOARD OF DIRECTORS CONDUCTS A YEARLY PERFORMANCE REVIEW OF THE CEO UPON
WHICH COMPENSATION IS BASED. THE BOARD OF DIRECTORS RELIES ON AN
INDEPENDENT COMPENSATION CONSULTANT TO OBTAIN APPROPRIATE COMPENSATION
INFORMATION FOR THE CEO. ANNUAL PERFORMANCE REVIEWS ARE COMPLETED YEARLY
BETWEEN THE MONTHS OF APRIL AND JUNE. YEARLY STAFF COMPENSATION IS
RECOMMENDED BY SENIOR MANAGEMENT TO THE CEO. THE OVERALL COMPENSATION IS
THEN PRESENTED TO THE BOARD OF DIRECTORS THROUGH THE BUDGET APPROVAL
PROCESS.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:
AL, AR, CA, CT, FL, GA, HI, IL, KS, KY, MD, MA, MI, MN, MS, NH, NJ, NM, NY, NC, OK, OR, PA, RI, SC,
TN, UT, VA, WV, WI

FORM 990, PART VI, SECTION C, LINE 19:
THE ORGANIZATION'S BYLAWS AND CONFLICT OF INTEREST POLICY ARE AVAILABLE IN THE WWW.AAUW.ORG WEBSITE. AUDITED FINANCIAL STATEMENTS ARE AVAILABLE ON THE WEBSITE.

FORM 990, PART XII, LINE 2C:

THE BOARD OF DIRECTORS ASSIGNS AN AUDIT COMMITTEE THAT ASSUMES THE RESPONSIBILITY OF OVERSEEING THE ANNUAL AUDIT OF THE FINANCIAL STATEMENTS AND THE SELECTION OF THE INDEPENDENT ACCOUNTING FIRM. THIS PROCESS HAS NOT CHANGED FROM PRIOR YEAR.
### Part I  Identification of Disregarded Entities

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<th>(b)</th>
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<th>(d)</th>
<th>(e)</th>
<th>(f)</th>
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<tbody>
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<td>Name, address, and EIN (if applicable) of disregarded entity</td>
<td>Primary activity</td>
<td>Legal domicile (state or foreign country)</td>
<td>Total income</td>
<td>End-of-year assets</td>
<td>Direct controlling entity</td>
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### Part II  Identification of Related Tax-Exempt Organizations

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</thead>
<tbody>
<tr>
<td>Name, address, and EIN of related organization</td>
<td>Primary activity</td>
<td>Legal domicile (state or foreign country)</td>
<td>Exempt Code section</td>
<td>Public charity status (if section 501(c)(3))</td>
<td>Direct controlling entity</td>
<td>Section 512(b)(13) controlled entity?</td>
</tr>
<tr>
<td>AAUW ACTION FUND, INC. - 53-0025390</td>
<td>1310 L STREET, NW #1000 WASHINGTON, DC 20005</td>
<td>SEE SCHEDULE R, PART VII MASSACHUSETTS 501(C)(4) N/A</td>
<td>AMERICAN ASSOCIATION OF UNIVERSITY WOMEN, INC. 50010-11</td>
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## Part III: Identification of Related Organizations Taxable as a Partnership
Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of related organization</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Direct controlling entity</th>
<th>(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)</th>
<th>(f) Share of total income</th>
<th>(g) Share of end-of-year assets</th>
<th>(h) Disproportionate allocations?</th>
<th>(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)</th>
<th>(j) General or managing partner?</th>
<th>(k) Percentage ownership</th>
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## Part IV: Identification of Related Organizations Taxable as a Corporation or Trust
Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of related organization</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Direct controlling entity</th>
<th>(e) Type of entity (C corp, S corp, or trust)</th>
<th>(f) Share of total income</th>
<th>(g) Share of end-of-year assets</th>
<th>(h) Percentage ownership</th>
<th>(i) Section 512(b)(13) controlled entity?</th>
<th>(j) Yes</th>
<th>(k) No</th>
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632162  09-06-16
Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1. During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?
   a. Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity
   b. Gift, grant, or capital contribution to related organization(s)
   c. Gift, grant, or capital contribution from related organization(s)
   d. Loans or loan guarantees to or for related organization(s)
   e. Loans or loan guarantees by related organization(s)
   f. Dividends from related organization(s)
   g. Sale of assets to related organization(s)
   h. Purchase of assets from related organization(s)
   i. Exchange of assets with related organization(s)
   j. Lease of facilities, equipment, or other assets to related organization(s)
   k. Lease of facilities, equipment, or other assets from related organization(s)
   l. Performance of services or membership or fundraising solicitations for related organization(s)
   m. Performance of services or membership or fundraising solicitations by related organization(s)
   n. Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)
   o. Sharing of paid employees with related organization(s)
   p. Reimbursement paid to related organization(s) for expenses
   q. Reimbursement paid by related organization(s) for expenses
   r. Other transfer of cash or property to related organization(s)
   s. Other transfer of cash or property from related organization(s)

2. If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

<table>
<thead>
<tr>
<th>(a) Name of related organization</th>
<th>(b) Transaction type (a-s)</th>
<th>(c) Amount involved</th>
<th>(d) Method of determining amount involved</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) AAUW ACTION FUND, INC.</td>
<td>C</td>
<td>200,000. CASH</td>
<td></td>
</tr>
</tbody>
</table>
Part VI Unrelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of entity</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)</th>
<th>(e) Are all partners sec. 501(c)(3) orgs.?</th>
<th>(f) Share of total income</th>
<th>(g) Share of end-of-year assets</th>
<th>(h) Disproportionate allocations?</th>
<th>(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)</th>
<th>(j) General or managing partner?</th>
<th>(k) Percentage ownership</th>
</tr>
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<tbody>
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<td>Yes No</td>
<td>Yes No</td>
<td>Yes No</td>
<td>Yes No</td>
<td>Yes No</td>
<td>Yes No</td>
<td>Yes No</td>
</tr>
</tbody>
</table>
PART II, IDENTIFICATION OF RELATED TAX-EXEMPT ORGANIZATIONS:

PRIMARY ACTIVITY: AAUW ACTION FUND ADVANCES EQUITY FOR WOMEN AND GIRLS THROUGH MEMBER ACTIVISM AND VOTER MOBILIZATION.
**Application for Automatic Extension of Time To File an Exempt Organization Return**

- **File a separate application for each return.**
- **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).**

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile), click on Charities & Non-Profits, and click on e-file for Charities & Non-Profits.

**Automatic 6-Month Extension of Time. Only submit original (no copies needed).**

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

<table>
<thead>
<tr>
<th>Type or print</th>
<th>Name of exempt organization or other filer, see instructions.</th>
<th>Employer identification number (EIN) or Social security number (SSN)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>AMERICAN ASSOCIATION OF UNIVERSITY WOMEN, INC.</td>
<td>52-6037388</td>
</tr>
<tr>
<td>File by the due date for filing your return. See instructions.</td>
<td>Number, street, and room or suite no. If a P.O. box, see instructions.</td>
<td>City, town or post office, state, and ZIP code. For a foreign address, see instructions.</td>
</tr>
<tr>
<td></td>
<td>1310 L STREET NORTHWEST, NO. 1000</td>
<td>WASHINGTON, DC 20005</td>
</tr>
</tbody>
</table>

Enter the Return Code for the return that this application is for (file a separate application for each return).

<table>
<thead>
<tr>
<th>Application Is For</th>
<th>Return Code</th>
<th>Application Is For</th>
<th>Return Code</th>
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</thead>
<tbody>
<tr>
<td>Form 990 or Form 990-EZ</td>
<td>01</td>
<td>Form 990-T (corporation)</td>
<td>07</td>
</tr>
<tr>
<td>Form 990-BL</td>
<td>02</td>
<td>Form 1041-A</td>
<td>08</td>
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<tr>
<td>Form 4720 (individual)</td>
<td>03</td>
<td>Form 4720 (other than individual)</td>
<td>09</td>
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<tr>
<td>Form 990-PF</td>
<td>04</td>
<td>Form 5227</td>
<td>10</td>
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<tr>
<td>Form 990-T (sec. 401(a) or 408(a) trust)</td>
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<td>Form 6069</td>
<td>11</td>
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<tr>
<td>Form 990-T (trust other than above)</td>
<td>06</td>
<td>Form 8870</td>
<td>12</td>
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**THOMAS CHAPPELL - 1310 L STREET NORTHWEST, SUITE 1000 -**

- The books are in the care of: **WASHINGTON, DC 20005**
- Telephone No. [ (202) 785-7700 ]
- Fax No. [ ]
- If the organization does not have an office or place of business in the United States, check this box [ ]
- If this is for a Group Return, enter the organization’s four digit Group Exemption Number (GEN) [ ]. If this is for the whole group, check this box [ ] and attach a list with the names and EINs of all members the extension is for.

1. I request an automatic 6-month extension of time until **MAY 15, 2018**, to file the exempt organization return for the organization named above. The extension is for the organization’s return for:

   - calendar year [ ]
   - [ ] tax year beginning **JUL 1, 2016**, and ending **JUN 30, 2017**.

2. If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a. If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.

   | 3a | $0. |

3b. If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.

   | 3b | $0. |

3c. **Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.

   | 3c | $0. |

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-E0 and Form 8879-E0 for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.